

# CS240

Nathan Sprague

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# Big- $\Theta$ / Order Notation

- Informal description: Growth functions are categorized according to their dominant (fastest growing) term
- Constants and lower-order terms are discarded
- Examples:
  - $10n \in \Theta(n)$
  - $5n^2 + 2n + 3 \in \Theta(n^2)$
  - $n \log n + n \in \Theta(n \log n)$
- We could read this as “ $10n$  is order  $n$ ”

# Why Drop the Constants?

- Example...

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- Despite constants, functions from slower growing classes will always be faster eventually

# Why Drop Lower Order Terms

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- Contribution of lower-order terms becomes insignificant as input size increases
- This difference looks important:
- It looks less important now.

# Are we SURE we want to drop the constants?

For two growth functions in the same complexity class, constant factors continue to have an impact, regardless of input size...

# Why Drop the Constants? (Again?)

- Real goal is to understand the relative impact of increasing input size
- Equivalently: allow us to predict the impact of using a faster computer
- Constant factors are influenced by all the distractions we mentioned before:
  - Choice of basic operation
  - Programming language
  - ...



# Why Drop the Constants? (Again?)

- Real goal is to understand the relative impact of increasing input size
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- Constant factors are influenced by all the distractions we mentioned before:
  - Choice of basic operation
  - Programming language
  - ...
- That said... We DO care about constant factors.

# Formal Definition of Big-O

## Big O

For  $T(n)$  a non-negative function,  $T(n) \in O(f(n))$  if and only if there exist positive constants  $c$  and  $n_0$  such that

$$T(n) \leq cf(n) \text{ for all } n > n_0.$$

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  - $50n \stackrel{?}{\in} O(n)$
  - Yes! choose  $c = 50$ ,  $n_0 = 1$ , clearly
  - $50n \leq 50n$  for all  $n > 1$

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- Notice that:

$$n^2 + 40n \leq n^2 + 40n^2 = 41n^2$$

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- Informal rule of “dropping lower-order terms” also follows:
  - $n^2 + 40n \stackrel{?}{\in} O(n^2)$
  - Notice that:  
$$n^2 + 40n \leq n^2 + 40n^2 = 41n^2$$
  - Choose  $c = 41$ ,  $n_0 = 1$ , clearly  
$$n^2 + 40n \leq 41n^2 \text{ for all } n > 1$$

# Big O Describes an Upper Bound

- Big O is loosely analogous to  $\leq$

- All of these statements are true:

$$n^2 \in O(n^2)$$

$$n^2 \in O(n^4)$$

$$n^2 \in O(n!)$$

...

$$2n^2 \in O(n^2)$$



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- Big-O descriptions are imprecise in two different ways:
  - No constants or lower-order terms
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# Upper Bounds

- Big-O descriptions are imprecise in two different ways:
  - No constants or lower-order terms
    - GOOD: fewer distractions
  - Only provides an upper bound. Correct to say an algorithm requires  $O(n^3)$  steps, even if it only requires  $n$  steps.
    - UNFORTUNATE: conveys an incomplete analysis

# Socratic Quiz!

Alyce is working on the analysis of a complex algorithm for finding sequence matches in a DNA database. She can easily show that the algorithm requires no more than  $n^2 + n$  base-pair comparisons in the worst case. She hopes to show that the algorithm requires at most  $n \log n + n$  comparisons. How should Alyce describe the running time of the algorithm given the current state of her analysis?

- A)  $O(n^3)$
- B)  $O(n^2 + n)$
- C)  $O(n^2)$
- D)  $O(n \log n + n)$
- E)  $O(n)$

# Big Omega

## Big $\Omega$

For  $T(n)$  a non-negative function,  $T(n) \in \Omega(f(n))$  if and only if there exist positive constants  $c$  and  $n_0$  such that

$$T(n) \geq cf(n) \text{ for all } n > n_0.$$

- Big  $\Omega$  is loosely analogous to  $\geq$
- All of these statements are true:
  - $n^2 \in \Omega(n^2)$
  - $n^4 \in \Omega(n^2)$
  - $n! \in \Omega(n^2)$
  - ...
  - $n^2 \in \Omega(2n^2)$

# Big Theta

## Big $\Theta$

$f(n) \in \theta(g(n))$  iff,

$$f(n) \in O(g(n)) \text{ and } f(n) \in \Omega(g(n))$$

- Big  $\Theta$  is loosely analogous to =
- Which of these statements are true?

$$n^2 \stackrel{?}{\in} \Theta(n^2)$$

$$2n^2 \stackrel{?}{\in} \Theta(n^2)$$

$$n^2 \stackrel{?}{\in} \Theta(n^4)$$

$$5n^2 + 2n \stackrel{?}{\in} \Theta(4n^3)$$

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- Which of these statements are true?

$$n^2 \in \Theta(n^2)$$

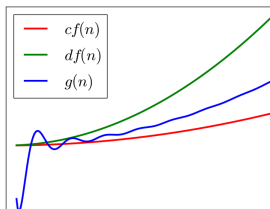
$$2n^2 \in \Theta(n^2)$$

$$n^2 \notin \Theta(n^4)$$

$$5n^2 + 2n \notin \Theta(4n^3)$$

# Socratic Quiz

What relationship(s) is(are) illustrated by the following figure?



- A)  $f(n) \in O(g(n))$
- B)  $f(n) \in \Omega(g(n))$
- C)  $f(n) \in \Theta(g(n))$
- D)  $g(n) \in O(f(n))$
- E)  $g(n) \in \Omega(f(n))$
- F)  $g(n) \in \Theta(f(n))$
- G) A, B and C are all correct
- H) D, E and F are all correct

# Algorithm Analysis Algorithm

- STEP 1: Select a measure of input size and a basic operation
- STEP 2: Develop a function  $T(n)$  that describes the number of times the basic operation occurs as a function of input size
- STEP 3: Describe  $T(n)$  using order notation
  - Big-O for an upper bound  
“The algorithm is at least this fast!”
  - Big- $\Omega$  for a lower bound  
“The algorithm is at least this slow!”
  - Big- $\Theta$  for both upper and lower bound