

CS240

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Warm-Up

```
1 def fun(n):  
2     result = fun2(n-2)  
3     return result + 4  
4  
5 def fun2(n):  
6     result = 0  
7     for i in range(n):  
8         result = result + 1  
9     return result
```

How many addition operations when
fun(5) is executed?
fun(n)?

Recursive Warm-Up

```
1 def fun3(n)
2     if n == 0:
3         return 20
4     else:
5         result = 0
6         for i in range(4):
7             result += 1
8         return result + fun3(n - 1)
```

Let's develop an equation describing how many additions will be performed:

$$T(0) = ??$$

$$T(3) = ??$$

$$T(n) = ??$$

Recurrences

We can express this as a recurrence :

```
1 def fun3(n)
2     if n == 0:
3         return 20
4     else:
5         result = 0
6         for i in range(4):
7             result += 1
8         return result + fun3(n-1)
```

Let's develop an equation describing how many additions will be performed:

$$T(0) = 0$$

$$T(n) = 5 + T(n-1)$$

Recurrence Exercise

Develop a Recurrence:

```
1 def fun3(n)
2     if n == 0:
3         return 20
4     else:
5         result = 0
6         return result - fun3(n - 1) + fun3(n-1)
```

STAGE 1 - Develop a Recurrence

- Develop a recurrence relation that describes the number of times the basic operation occurs in the worst (best, average) case:
- Typically:

Initial Conditions

$$T(\text{size_of_base_case}) = \#operations_required_for_base_case$$

Recurrence Relation

$$T(n) = \#operations_in_call + \#recursive_calls \times T(\text{size_of_recursive_calls})$$

STAGE 2 - Solve the Recurrence

- One approach is the method of back substitution:
 - 1 Expand the recurrence by repeated substitution until a pattern emerges.
 - 2 Characterize the pattern by expressing the recurrence in terms of n and i , (where i is the number of substitutions).
 - 3 Substitute for i an expression that will remove the recursive term.
 - 4 Manipulate the result to achieve a closed-form solution.

STAGE 3 Check Your Answer

- Make sure that the recurrence and the closed form solution agree for several values of n .

Example: Recursive Binary Search, Stage 1

Worst-case recurrence:

$$W(1) = 1$$

$$W(n) = 1 + W(n/2)$$

Example: Recursive Binary Search, Stage 2

Backwards substitution:

$$W(1) = 1$$

$$W(n) = 1 + W(n/2)$$

$$W(n) = 1 + 1 + W((n/2)/2) \quad (\text{substitute})$$

$$W(n) = 1 + 1 + W(n/4) \quad (\text{simplify})$$

$$W(n) = 1 + 1 + 1 + W(n/8)$$

...

$$W(n) = i + W\left(\frac{n}{2^i}\right) \quad (\text{generalize})$$

Solve for i that results in initial condition:

$$\frac{n}{2^i} = 1$$

$$n = 2^i$$

$$i = \log_2 n$$

Substitute $\log_2 n$ for i : $W(n) = \log_2 n + 1$

Example: Recursive Binary Search, Stage 3

Applying recurrence:

$$W(1) = 1$$

$$W(2) = 1 + W(1) = 1 + 1 = 2$$

$$W(4) = 1 + W(2) = 1 + 2 = 3$$

Applying solution:

$$W(1) = \log_2 1 + 1 = 0 + 1 = 1$$

$$W(2) = \log_2 2 + 1 = 1 + 1 = 2$$

$$W(4) = \log_4 4 + 1 = 2 + 1 = 3$$

Another Example

```
1 def fun(items):
2     if len(items) <= 1:
3         return basicOperation()
4     else:
5         basicOperation()
6         return fun(items[2:])
```

STAGE 1

```
1 def fun(items):
2     if len(items) <= 1:
3         return basicOperation()
4     else:
5         basicOperation()
6         return fun(items[2:])
```

Initial Conditions:

$$T(0) = 1$$

$$T(1) = 1$$

Recursive part:

$$T(n) = 1 + T(n - 2)$$

STAGE 2

Substitution:

$$T(n) = 1 + T(n - 2)$$

$$T(n) = 1 + 1 + T((n - 2) - 2)$$

$$T(n) = 1 + 1 + T(n - 4)$$

$$T(n) = 1 + 1 + 1 + T(n - 6))$$

...

$$T(n) = i + T(n - 2i)$$

STAGE 2 (Continued)

Recursive term disappears when $n - 2i = 0$ or $n - 2i = 1$ (The first will apply for even n , the second will apply for odd n .)

$$n - 2i = 0$$

$$i = n/2$$

Substitute $n/2$ for i :

$$T(n) = n/2 + 1$$

For even n .

Similarly, $T(n) = \frac{n-1}{2} + 1$ for odd n .

Recurrence Exercise

```
1 def fun(items):
2     n = len(items)
3     if n <= 1:
4         return 3
5     for i in range(4):
6         mid = n // 2
7         fun(items[:mid])
8     sum = 0
9     for i in range(n):
10        for j in range(n):
11            sum = items[i] + items[j]
12    return sum
```


Recurrence

$$T(1) = 0$$

$$T(n) = n^2 + 4T(n/2)$$

Solving With Backward Substitution

$$T(1) = 0$$

$$T(n) = n^2 + 4T\left(\frac{n}{2}\right)$$

$$T(n) = n^2 + 4\left(\left(\frac{n}{2}\right)^2 + 4T\left(\frac{n}{4}\right)\right) \quad \text{(substitute)}$$

$$T(n) = n^2 + n^2 + 16T\left(\frac{n}{4}\right) \quad \text{(simplify)}$$

$$T(n) = n^2 + n^2 + 16\left(\left(\frac{n}{4}\right)^2 + 4T(n/8)\right) \quad \text{(substitute)}$$

$$T(n) = n^2 + n^2 + n^2 + 64T(n/8) \quad \text{(simplify)}$$

...

$$T(n) = i \times n^2 + 4^i T\left(\frac{n}{2^i}\right) \quad \text{(generalize)}$$

Solve for i that results in initial condition:

$$\frac{n}{2^i} = 1$$

$$i = \log_2 n$$

Substitute $\log_2 n$ for i : $T(n) = n^2 \log_2 n$

Checking The Answer

Applying recurrence:

$$T(1) = 0$$

$$T(2) = 2^2 + 4T(1) = 4 + 4(0) = 4$$

$$T(4) = 4^2 + 4T(2) = 16 + 4(4) = 32$$

Applying solution:

$$T(1) = 1^2 \times \log_2 1 = 1 \times 0 = 0$$

$$T(2) = 2^2 \times \log_2 2 = 4 \times 1 = 4$$

$$T(4) = 4^2 \times \log_2 4 = 16 \times 2 = 32$$