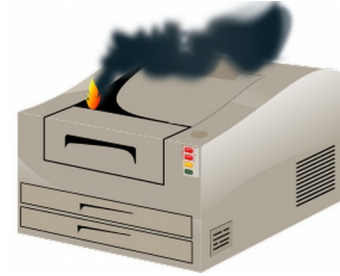


# CS 470 Spring 2023

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## Fault Tolerance

Content taken from the following:

*"Distributed Systems: Principles and Paradigms"* by Andrew S. Tanenbaum and Maarten Van Steen (Chapter 8)

Various online sources, including [github.com/donnemartin/system-design-primer](https://github.com/donnemartin/system-design-primer)

# Fault tolerance

- Desirable system properties
- Failure models
- Dealing with failure

# Desirable system properties

- We want **dependable** systems
  - **Available**: ready for use at any given time
  - **Reliable**: runs continuously without failure
  - **Safe**: nothing catastrophic happens upon failure
  - **Maintainable**: easy to repair
  - Similar to definitions for dependable software (CS 345)

# Problem

- Inherent tension between:
  - **Consistency**: reads see previous writes ("safety")
  - **Availability**: operations finish *relatively quickly* ("liveness")
  - **Partition tolerance**: failures don't affect correctness

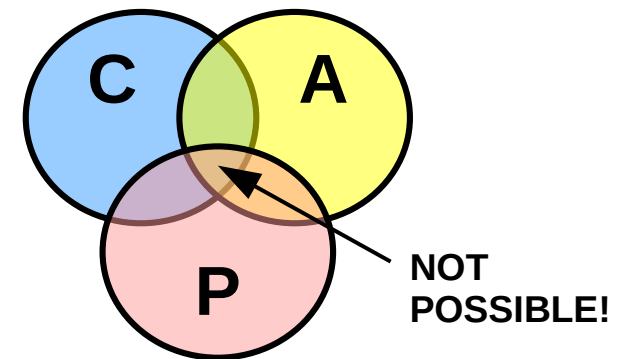
***Systems design involves tradeoffs***

# Problem

- Which of the following is **most** important in a distributed system?
  - A. Consistency
  - B. Availability
  - C. Partition tolerance

# CAP Theorem

- A system cannot be simultaneously consistent (C), available (A), and partition-tolerant (P)
  - We can only have two of three
  - In a non-distributed system, P isn't needed
    - Tradeoff: latency vs. consistency ("PACELC Theorem")
  - In a distributed system, P isn't optional
    - Thus, we must choose: CP or AP
    - I.e., consistency or availability



# Problem

- Which of the following is **least** important in a distributed system?
  - A. Consistency
  - B. Availability
  - C. Partition tolerance

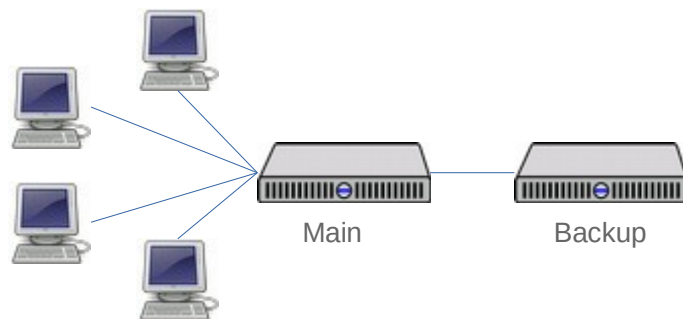
# Consistency

- Usual choice: compromise on consistency
  - **Strong consistency**: reads see all previous writes (sequential consistency)
    - Alternatively, continuous w/ short interval
    - Causal consistency: reads see all causally-related writes
  - **Eventual consistency**: reads eventually see all previous writes (continuous w/ long interval)
    - E.g., "guaranteed convergence"
  - **Weak consistency**: reads may not see previous writes
    - E.g., "best effort"

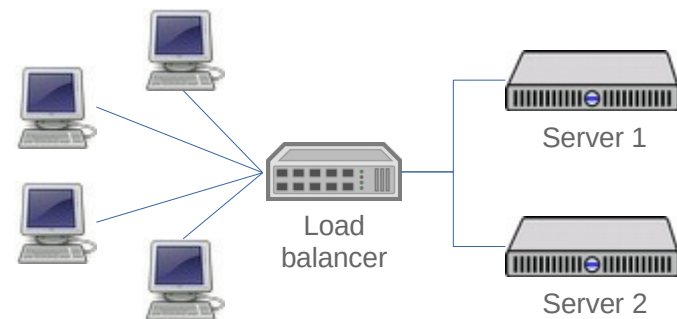


# Availability

- **Active-passive** (asymmetric)
  - Active server handles all requests
  - Backup/failover server takes over if main fails
- **Active-active** (symmetric)
  - Multiple servers share request load
  - Load re-balances if one fails



**Active-passive**



**Active-active**

# Availability

- The JMU CS software mirror consists of two servers `mirror1` and `mirror2`. At any given point, one is designated “primary” and handles all incoming traffic. If it fails, the other server will take over as primary. Which availability model is this closest to?
  - A. Active-passive
  - B. Active-active
  - C. Passive-passive

# Failure models

- Sometimes, consistency/availability tradeoff decisions depend on the failure model:
  - What kinds of failures happen?
  - How often do they happen?
  - What are the effects of a failure?
  - At what level of abstraction does the failure take place?
  - How hard is it to debug a failure?

# Kinds of failures

- Soft vs hard failures
  - **Soft** failure: a.k.a. **silent data corruption** (SDC)
    - Often corrected by hardware
  - **Hard** failure: a component of a system stops working
- Hard failures in a non-distributed system are usually **fatal**
  - The entire system must be restarted
- Hard failures in a distributed system can be **non-fatal**
  - **Partial failure**: a failure of a subset of the components of a distributed system
  - If the system is well-designed, it may be able to recover and continue after a partial failure

# Kinds of failures

- A buffer overflow bug causes inadvertent data corruption. What is this an example of? (select all that apply)
  - A. Soft failure
  - B. Hard failure
  - C. Partial failure

# Kinds of failures

- One of the JMU cluster nodes goes offline due to a faulty power supply. What is this an example of? (select all that apply)
  - A. Soft failure
  - B. Hard failure
  - C. Partial failure

# Measuring failure

- **Failure rate** ( $\lambda$ ): failures per unit of time
- **Mean Time Between Failures** (MTBF) =  $1 / \lambda$ 
  - Assumes constant failure rate
- **Failures In Time** (FIT) = failures expected in one billion device-hours
  - $MTBF = 1e9 \times 1/FIT$

On a 10 million core machine, 1 FIT means once every 100 hours  
or **once every ~4.2 days!**

# Measuring failure

- If a JMU cluster hard drive dies on average every 5 years, what is the failure rate?
  - A. 0.05 failures/yr
  - B. 0.2 failures/yr
  - C. 0.5 failures/yr
  - D. 2.0 failures/yr
  - E. 5.0 failures/yr



# Effects of failure

- **Crash**: the system halts
- **Omission**: the system fails to respond to requests
- **Timing**: the system responds too slowly
- **Response**: the system responds incorrectly
- **Arbitrary** failure: anything else (unpredictable!)
  - Sometimes called "**Byzantine**" failures if they can manifest in such a way that prevents future consensus

# Levels of failure

- Some systems distinguish between failure levels:
  - A **failure** occurs when a system cannot meet its specification
  - An **error** is the part of a system's state that leads to a failure
  - A **fault** is the low-level cause of an error
  - Most common source of faults: memory or disk storage
- If a system can provide dependable services even in the presence of faults, that system is **fault-tolerant**

# Debugging faults

- **Permanent** faults reproduce deterministically
  - These are usually the easiest to fix
- **Intermittent** faults recur but do not always reproduce deterministically
  - Unfortunately common in distributed systems
  - **Heisenbug**: a software defect that seems to change or disappear during debugging
- **Transient** faults occur only once
  - Often the result of physical phenomena
  - **Single-event upset** (SEU): caused by ions



# Debugging faults

- Suppose there is a bug in one of your CS 361 projects that is a result of improper synchronization, causing you to fail one of the automated tests. However, it does not reproduce in gdb. What kind of fault is this?
  - A. Permanent
  - B. Intermittent
  - C. Transient

# Debugging faults

- Suppose your roommate trips and falls, accidentally hitting the switch on your surge protector and causing your desktop to lose power. What kind of fault is this?
  - A. Permanent
  - B. Intermittent
  - C. Transient

# Bit errors

- **Bit error**: low-level fault where a bit is read/written incorrectly
- **Single-bit** vs. **double-bit** vs. **multi-bit**
  - Single-Bit Error (**SBE**), Double-Bit Error (**DBE**)
  - **Hamming distance**: # of bits different
- Potential DRAM source: "weak bits" in hardware
  - Electrical charge stored in a memory cell capacitor
  - **Critical charge** ( $Q_{crit}$ ) is the threshold between 0 and 1 values
  - Refreshed often, but sometimes still read incorrectly
- **Ionizing radiation** and **cosmic rays**
  - E.g., single-event upsets

# Example: GPU fault study



The Titan supercomputer has 18,688 GPUs

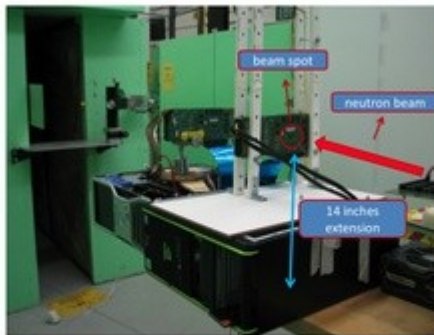
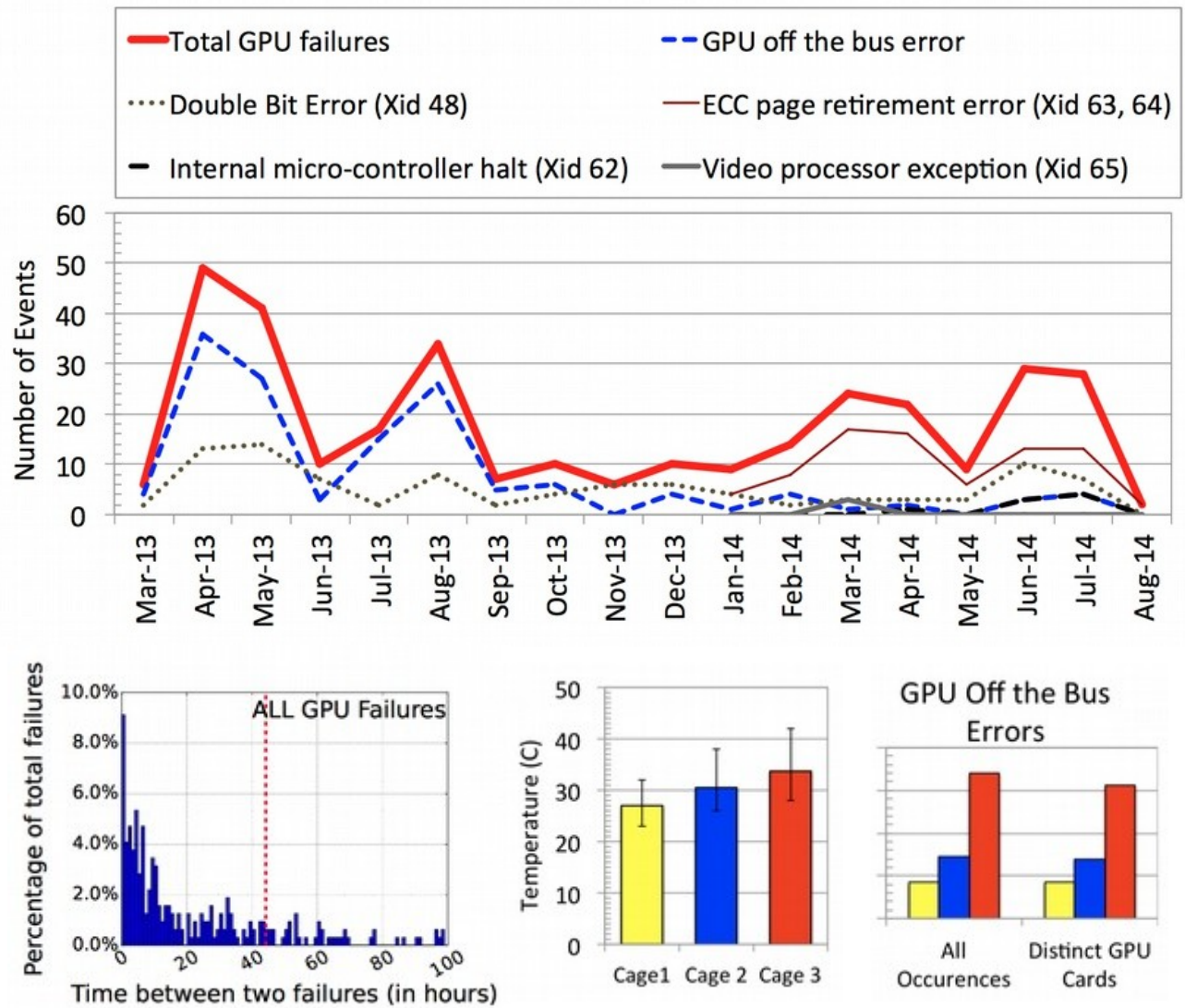


Figure 3: Radiation test setup inside the ICE House II, Los Alamos Neutron Science Center (LANSC), LANL. A similar setup was used at ISIS, Didcot, UK.



# Dealing with failure

- **Prevention**: eliminate the possibility of failure
  - Often impossible in a distributed system
- **Detection**: discovering failures
  - Active (**pinging**) vs. passive (wait for messages)
  - Issue: unreliability of **timeouts**
- **Avoidance**: divert around failure possibilities
  - Only possible in particular circumstances
- **Recovery**: restore valid system state after a failure
  - **Forward error correction** includes additional info for recovery



# Detection and avoidance

- Data-centric
  - Redundancy, diversity, and replication
    - E.g., dual modular redundancy (DMR), TMR
  - Parity bits, checksums, and hashes
    - E.g., cyclic redundancy check (CRC), MD5, SHA
- Computation-centric
  - Acknowledgement (ACK)-based protocols
  - Consensus and voting protocols
    - One-phase vs. two-phase (e.g., Paxos)

# Detection and avoidance

- How many total bits must be transmitted to **detect** a single-bit error?
  - A. 1
  - B. 2
  - C. 3
  - D. 4
  - E. 5

# Detection and avoidance

- How many total bits must be transmitted to detect a **double-bit** error?
  - A. 1
  - B. 2
  - C. 3
  - D. 4
  - E. 5

# Recovery

- How many total bits must be transmitted to **correct** a single-bit error?
  - A. 1
  - B. 2
  - C. 3
  - D. 4
  - E. 5

# Recovery in hardware

- Hardware (general space vs. safety tradeoff)
  - **Dual modular redundancy** (DMR) can **detect** a single-bit error
  - **Triple modular redundancy** (TMR) can **recover** one corrupted bit
    - Or detect a double-bit error
  - **Parity bits**
    - *Even* parity bits are 0 if the # of 1s is even; 1 otherwise
      - Special case of CRC (polynomial is  $x+1$ )
    - *Odd* parity bits are 1 if the # of 1s is even; 0 otherwise

## DMR:

0	0	ok (value = 0)
0	1	SBE
1	0	SBE
1	1	ok (value = 1)

## TMR:

0	0	0	ok (value = 0)
0	0	1	SBE (value = 0) or DBE
0	1	0	SBE (value = 0) or DBE
0	1	1	SBE (value = 1) or DBE
1	0	0	SBE (value = 0) or DBE
1	0	1	SBE (value = 1) or DBE
1	1	0	SBE (value = 1) or DBE
1	1	1	ok (value = 1)

# Parity

- Which of the following bytes has been corrupted during transmission, assuming 7-bit even parity?
  - A. 01010101
  - B. 10100101
  - C. 00001111
  - D. 01101000
  - E. 11111111

# Recovery codes

- **Hamming** codes (often used in ECC memory) use parity bits
  - Bit position  $2^i$  is a parity covering all bits with the  $(i+1)$ th least significant bit set
  - Each bit is covered by a unique set of parity bits
  - Error locations are identified by summing the positions of the faulty parity bits
  - Can detect & recover SBEs (can be extended to detect DBEs)
- **Reed-Solomon** codes are more complex (but widely used)
  - Function values or coefficients of a polynomial

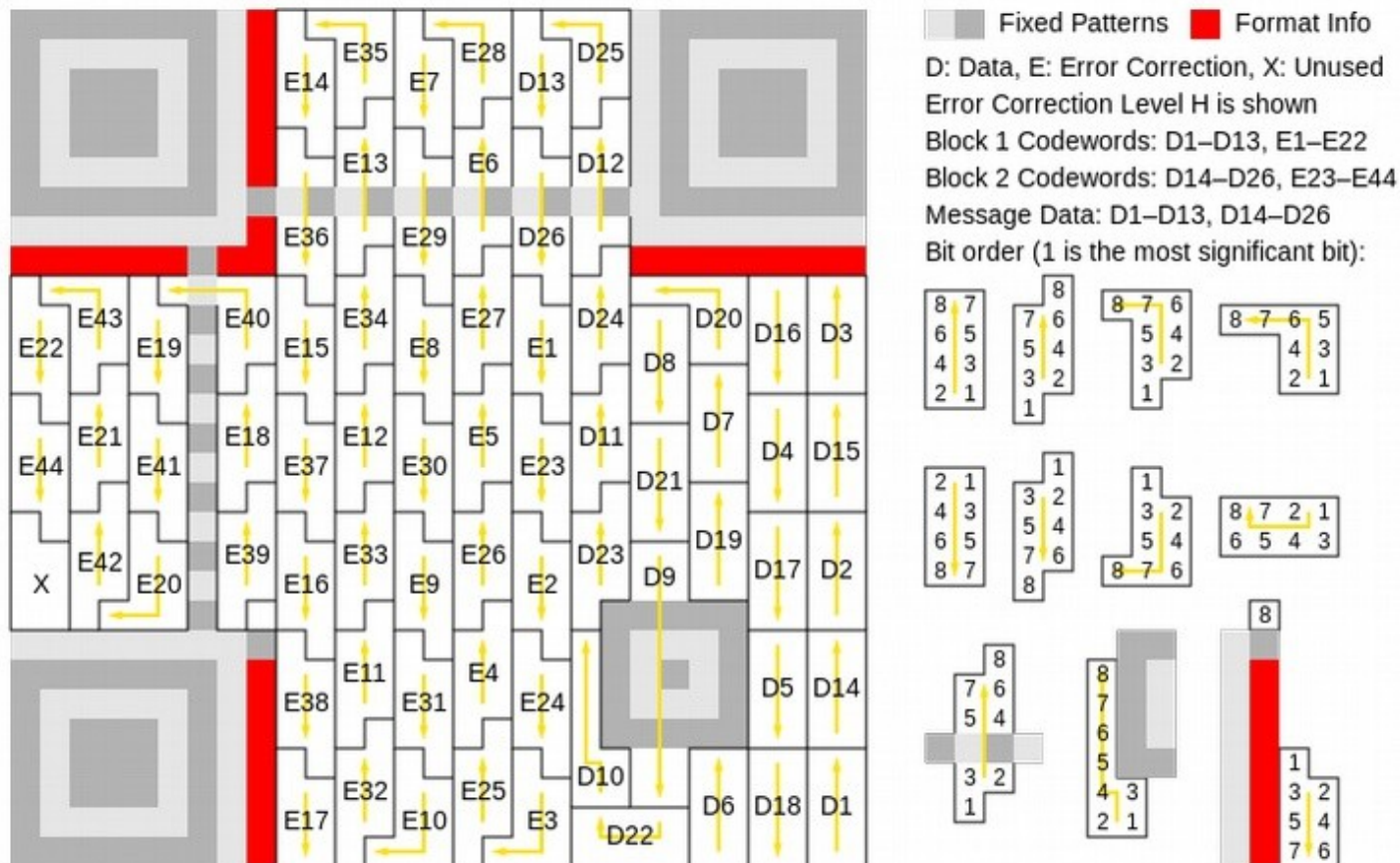
Bit position	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	
Encoded data bits	p1	p2	d1	p4	d2	d3	d4	p8	d5	d6	d7	d8	d9	d10	d11	p16	d12	d13	d14	d15	
Parity bit coverage	p1	X		X		X		X		X		X		X		X		X		X	
	p2		X	X			X	X			X	X			X	X			X	X	
	p4				X	X	X	X					X	X	X	X					X
	p8								X	X	X	X	X	X	X	X					
	p16																X	X	X	X	X

**Hamming code:** parity bits and corresponding data bits

from [https://en.wikipedia.org/wiki/Hamming\\_code](https://en.wikipedia.org/wiki/Hamming_code)

# Recovery codes

- QR codes provide multiple recovery % options
  - Four levels: L (7%), M (15%), Q (25%), H (30%)





# Recovery in software

- Software level
  - **Log**: record of operations (can enable recovery)
  - **Checkpoint**: snapshot of current state
    - **Independent** vs. **coordinated** checkpointing
    - **Standalone** vs. **incremental** checkpointing
    - Tradeoff: space vs. time (how much to save?)
  - **Restore**: revert system state to a checkpoint
    - May require replaying some calculations
    - Can a checkpoint be restored on a different system?
      - If so, how?