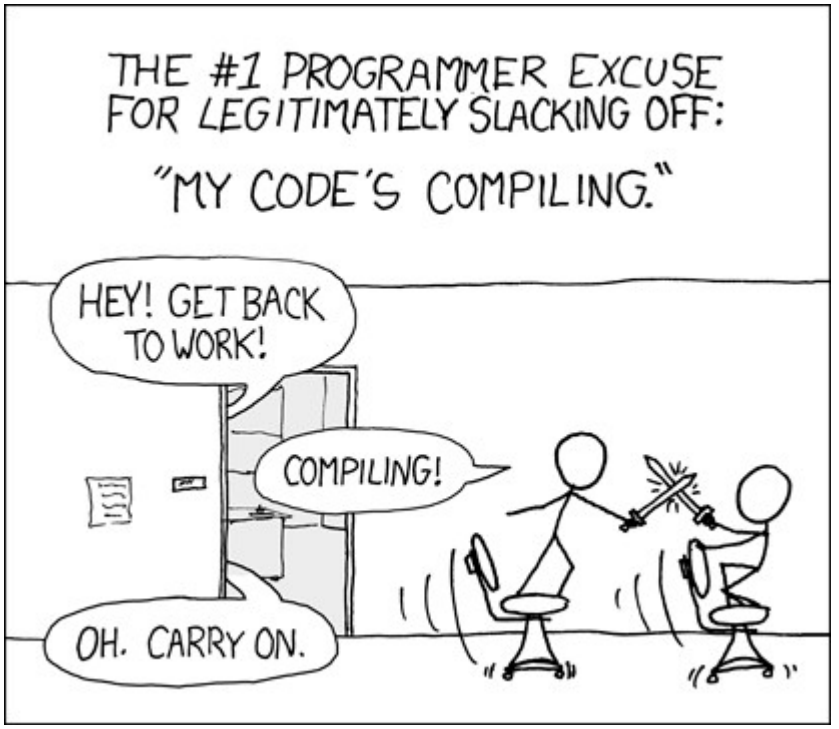
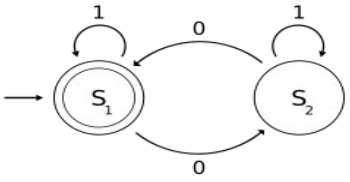
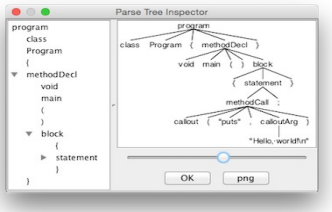
CS 432
Fall 2025

Mike Lam, Professor





Compilers



Advanced Systems Elective

Discussion question

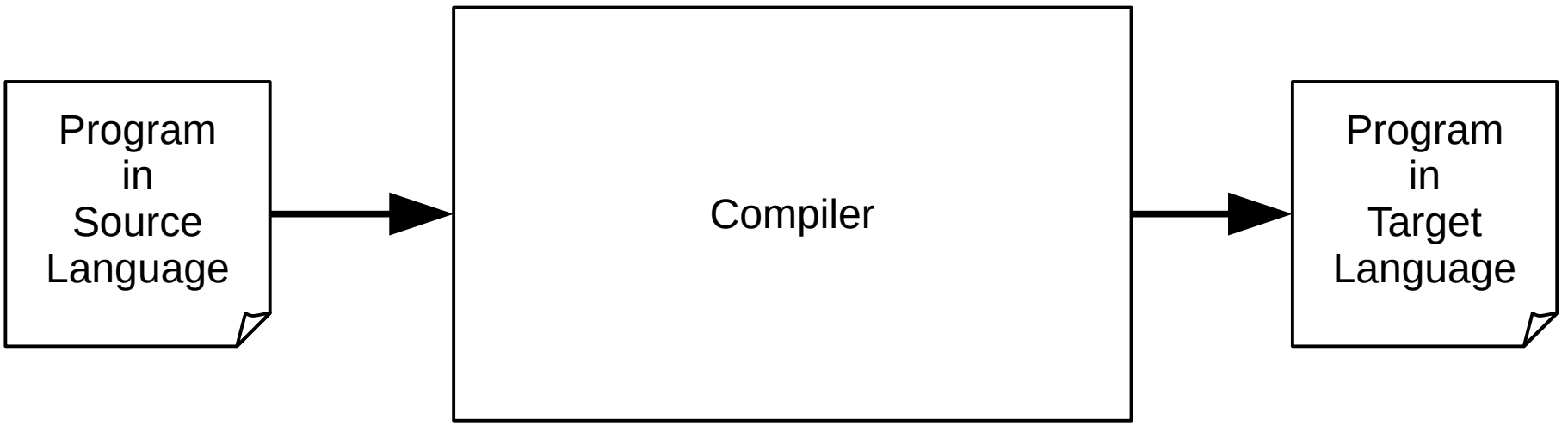
- What is a compiler?

```
Error: obscure syntax mistake [main.cpp:375] !!!
```

"An angry translator."
-- previous CS 432 student

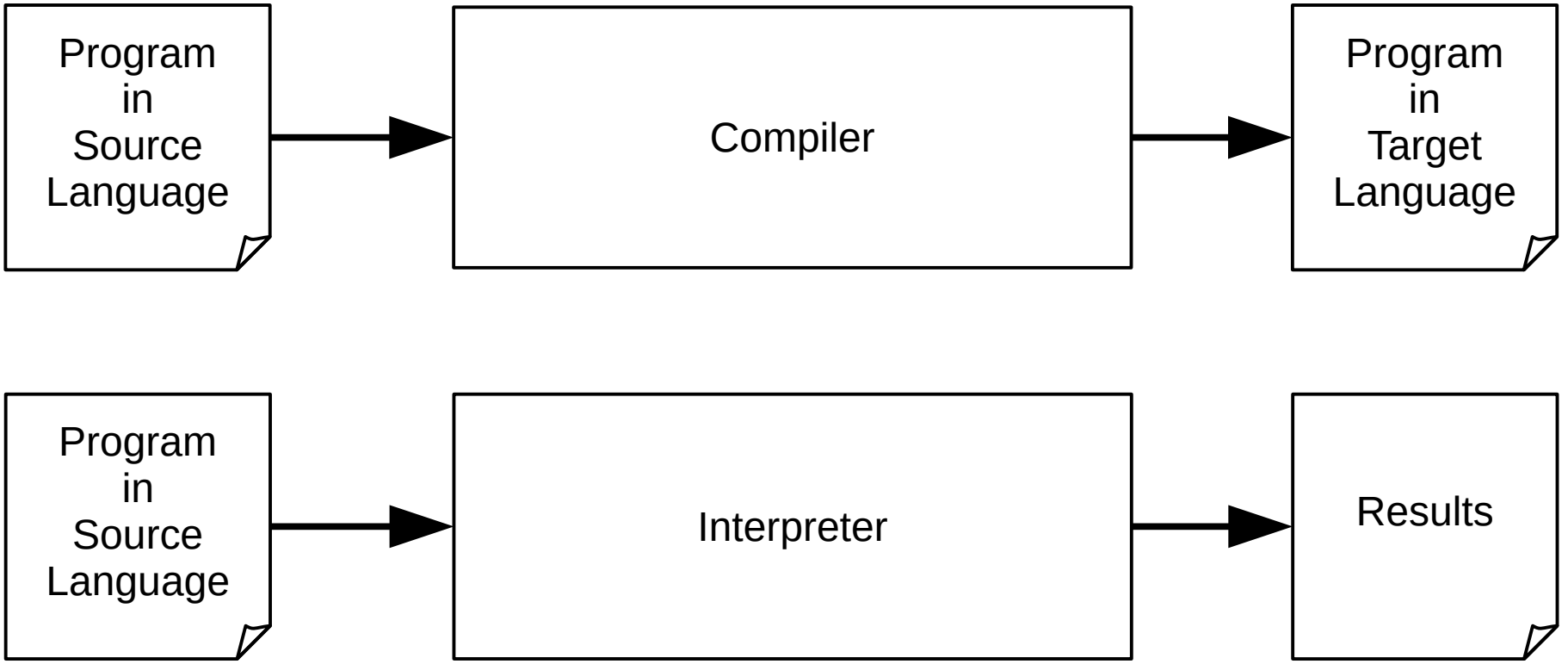
Automated translation

- A compiler is a computer program that **automatically translates** other programs from one language to another
  - (usually from a *human-readable* language to a *machine-executable* language, but not necessarily)



Automated translation

- Compilation vs. interpretation:



Rhetorical question

- Why should we study compilers?
  - *(besides getting systems elective credit...)*

Compilers: a convergent topic

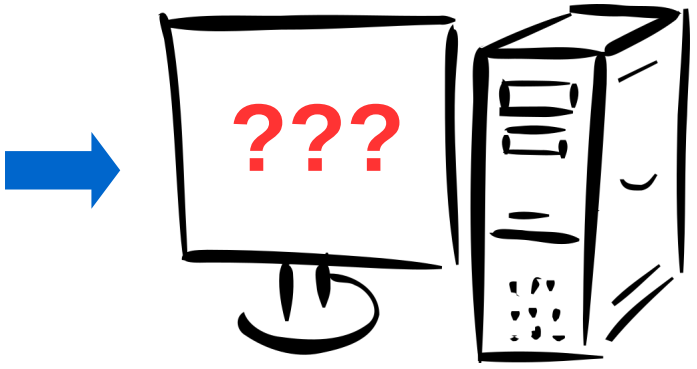
- Data structures
  - CS 240
- Architectures, machine languages, and operating systems
  - CS 261, CS 450
- Automata and language theory
  - CS 327, CS 430
- Graph algorithms
  - CS 327
- Software and systems engineering
  - CS 345, CS 361
- Greedy, heuristic, and fixed-point algorithms
  - CS 452

Reasons to study compilers

- Shows many areas of CS combining to solve a truly "hard" problem (automated language translation)
- Bridges theory vs. implementation gap
  - Theory informs system development
  - We will never lose sight of our primary objective
- Practical experience with large(er) software systems
  - My master copy is over 4K LOC
  - Of this, you will re-write over 1K LOC this semester
  - Need to address some software engineering concerns

Course goal

- Fundamental question
  - "How do compilers translate from a human-readable language to a machine-executable language?"
- After this course, your response should be:
  - "It's really cool! Let me tell you..."



Course objectives

- Identify and discuss the technical and social challenges of building a large software system such as a compiler.
- Develop and analyze formal descriptions of computer languages.
- Apply finite automata theory to build recognizers (lexers) for regular languages.
- Apply pushdown automata theory to build recognizers (parsers) for context-free languages.
- Evaluate the role of static analysis in automated program translation.
- Apply tree traversals to convert a syntax tree to low-level code.
- Discuss the limitations that an architecture or execution environment places on the generation of machine code.
- Describe common optimizations and evaluate the trade-offs associated with good optimization.

BUILD A COMPILER

Other objectives

- Practice reading a large code base
- Practice contributing to a large code base
  - Understanding and using interfaces and data structures
  - Debugging across modules
- Practice reviewing code written by others
- Experiment with using AI-assist tools (optional)
- Think deeply about how theoretical formalisms inform practical implementations
- Fully understand and harness recursion (finally!)

Evolution of CS 432

- Fall 2015 - special topics (CS 480)
  - Adaptation of CS 630 (graduate course) taught in Spring 2015
- Fall 2016 - first time taught as CS 432
  - First time teaching CS 261 as well
- Fall 2017
  - Expanded test suite significantly, added type systems and lambda calculus
- Fall 2018
  - Added Y86 translator to “close the loop” with CS 261, removed lambda calculus
- Fall 2019 (two sections)
  - Removed reflection paper assignments, switched to Dragon book for LR parsing
- Fall 2020
  - Re-wrote entire project in C (w/ re-worked grading scheme), transitioned to take-home exams
- Fall 2021
  - Added hybrid virtual/in-person office hours
- Fall 2022
  - Official support for VS Code + Remote SSH development platform
- Fall 2023
  - First semester allowing AI-assist tools on projects, transitioned back to in-class exams
- Fall 2024
  - Split midterm into two smaller exams

Semester-long project

- Compiler for "Decaf" language
  - Implementation in C11 w/ Makefile and integrated test suite
  - Compiles Decaf programs to ILOC & Y86
  - Five **mandatory** major projects: "pieces" of the full system
  - Primary grade based on functionality tiers (like in 261)
    - Unlike 261, most test cases are NOT provided in advance
    - Grade point conversion: A = 100, B = 85, C = 70, D = 50, F = 25

- Submission: code (90%) + review (8%) + response (2%)
  - Code can be written in teams of two
    - Benefits vs. costs of working in a team
    - **Must include an AI-Assist Statement at the top in a comment**
  - Individual graded code reviews due a week later
  - Review responses (how useful was the review?)

F25: AI assist on projects

- From the syllabus:
  - The use of AI-assisted code generation tools such as Github Copilot **are allowed** on the labs and projects for this course this semester.
  - In the comments at the top of each project submission, you must include an "AI-Assist Statement" that discloses the extent of your use of AI-assist technologies on the assignment. If you did not use such a technology, you may simply state "I did not use any AI-assist tools while creating this solution."

F25: AI assist on projects

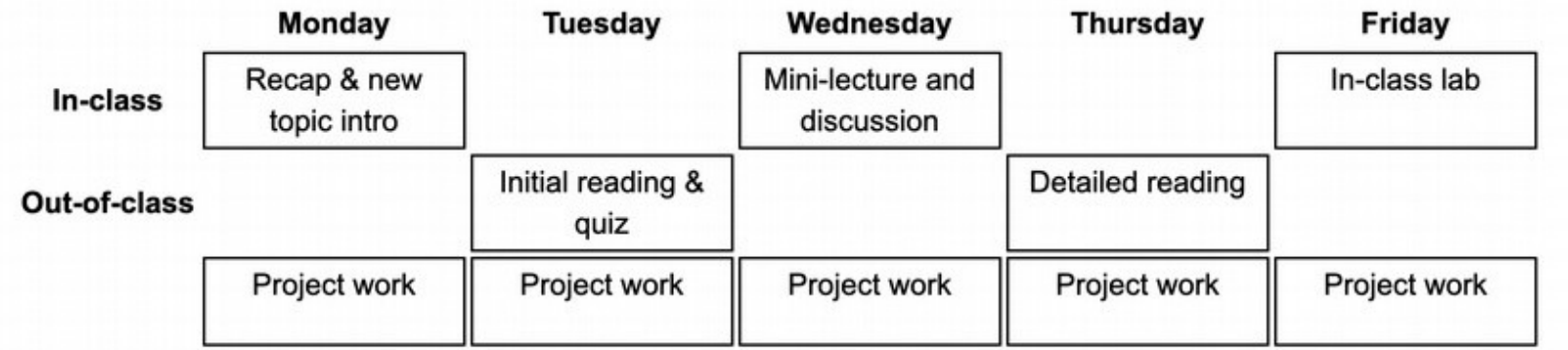
- For the last two semesters, this change did not appear to fundamentally affect the learning outcomes of this course
  - Some students found the tools helpful, some did not
  - There did not appear to be a strong correlation with grades
  - I anticipate this semester will be similar
- **Warning: AI tools will generate code that LOOKS reasonable**
  - Getting truly correct code often requires very careful prompting
  - If you can't write it yourself, you will likely be unable to coax an AI to do it
  - My suggestion: clearly mark AI-generated code, and don't trust it!
  - Also: test, test, test! Don't assume that a reasonable-looking solution works, especially for edge cases!

Aside: project submissions

- Issue: Canvas is not a great system for code reviews
- Total of five (5) things to submit for most projects:
  1) **Submit project on stu (for grading, similar to 261)**
  2) Submit .c file on Canvas (for code reviewers)
  3) **Submit code reviews on Canvas assignment (for grading)**
  4) Send code reviews to reviewees via Canvas message (for their benefit)
  5) Submit code review response quiz
- Due dates:
  - Original project deadline: **#1** and #2
    - Note: two projects (P2 and P3) also include “milestone” deadlines a week before
    - Milestone deadlines are optional and intended to help you stay on track to finish
  - One week after project deadline: **#3** and #4 (code reviews)
    - Note: no code reviews for last project (P5)
  - Tuesday after code review deadline: #5 (code review responses)

Course format

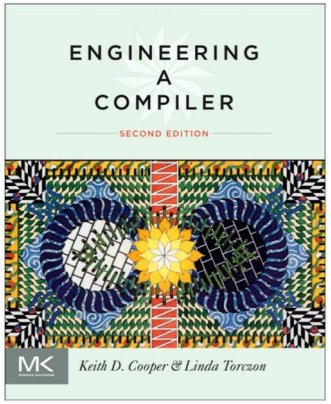
- Website: https://w3.cs.jmu.edu/lam2mo/cs432/
  - Make sure you're using the right year's website!
- Weekly schedule (most weeks)



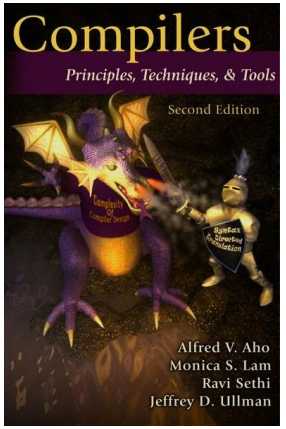
- *Formative* vs. *summative* assessment
  - Formative: quizzes and labs (together only 20% of final grade)
  - Summative: projects (25%) and in-person exams (55%)
  - **Don't trust your Canvas grade until mid-semester!**

Course text(s)

- **Engineering a Compiler, 2nd Edition**
  - Keith Cooper and Linda Torczon
  - Available online through JMU Libraries
  - Reserve copy at Rose library



- **Compilers: Principles, Techniques, & Tools, 2nd Edition**
  - Alfred Aho, Monica Lam, Ravi Sethi, Jeffrey Ullman
  - “The Dragon Book” (premier text on compilers)
  - One section scanned; posted under “Files” on Canvas



- Decaf/ILOC references and type systems reading
  - PDFs on website
- Design patterns reading from GoF book
  - PDF on Canvas

Communication

- Email: `lam2mo@jmu.edu`
  - Response likely within a few hours, but no guarantees on weekends or on project deadlines
- Office hours posted on Canvas
  - **NOTE:** I have two offices this semester
    - King 227 is my CS faculty office (TuTh)
      - Drop-in office hours and appointments
    - King 365 is my assistant dean office (MWF)
      - Meetings by appointment only

Class policies

- If you test positive for COVID-19 or the flu, or are consistently coughing and/or sneezing, **please stay home**
  - Contact me ASAP regarding missed class
  - If you feel a bit ill but well enough to attend class (and are NOT consistently coughing and/or sneezing), please consider wearing a surgical or N95/KN95 mask to protect others
  - Feel free to wear a mask in class or office hours for any reason
- Feel free to bring laptops to class
  - Please do not cause distractions for others
- These policies may change
  - Changes will be announced via Canvas message

Whew!

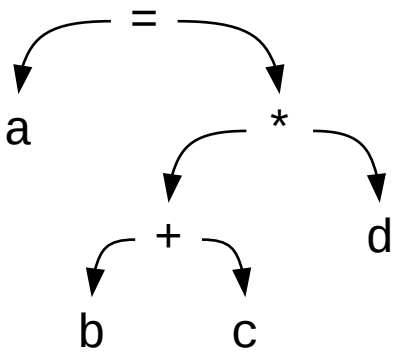
- Questions?

Compiler rule #1

- "The compiler must preserve the *meaning* of the program being compiled."
  - What is a program's *meaning*?

Intermediate representation

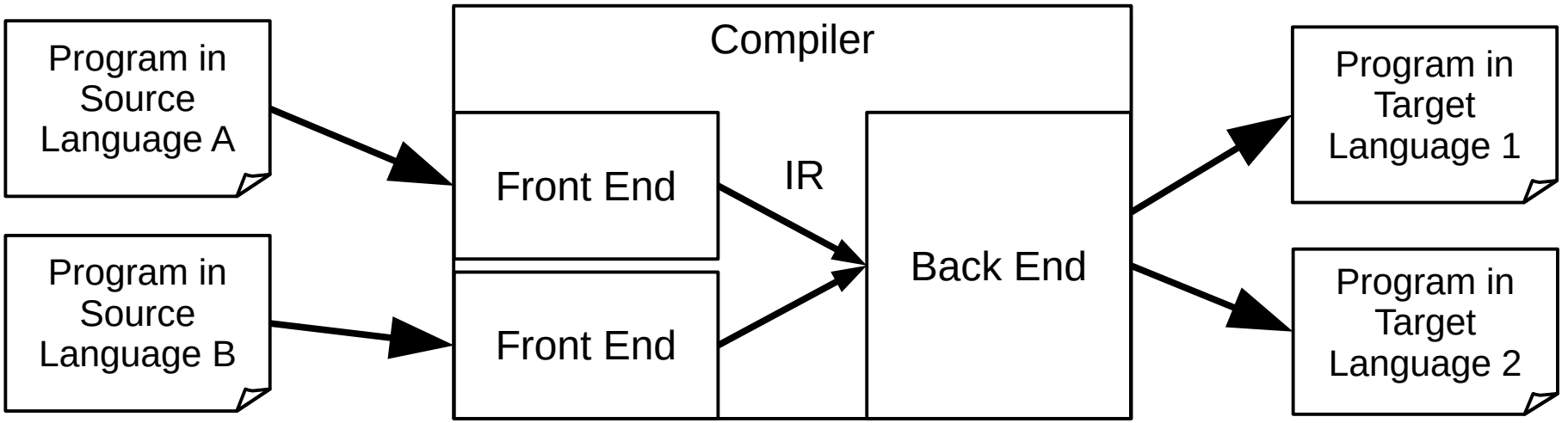
- Compilers encode a program's meaning using an intermediate representation (IR)
  - Tree- or graph-based: abstract syntax tree (AST), control flow graph (CFG)
  - Linear: register transfer language (RTL), Java bytecode, intermediate language for an optimizing compiler (ILOC)




```
load b → r1
load c → r2
add  r1, r2 → r3
load d → r4
mult r3, r4 → r5
store r5 → a
```

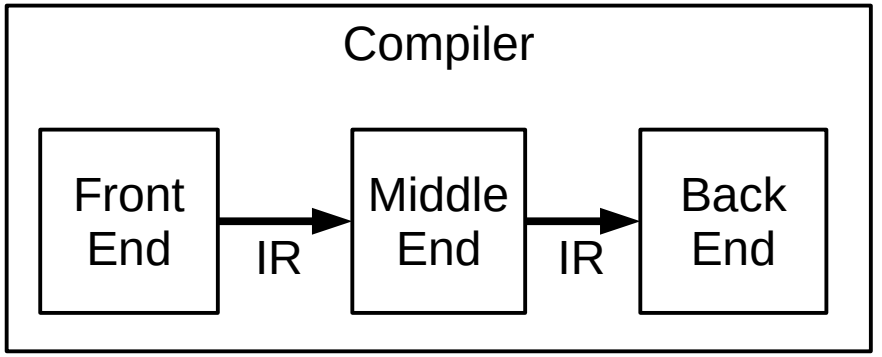
Standard compiler framework

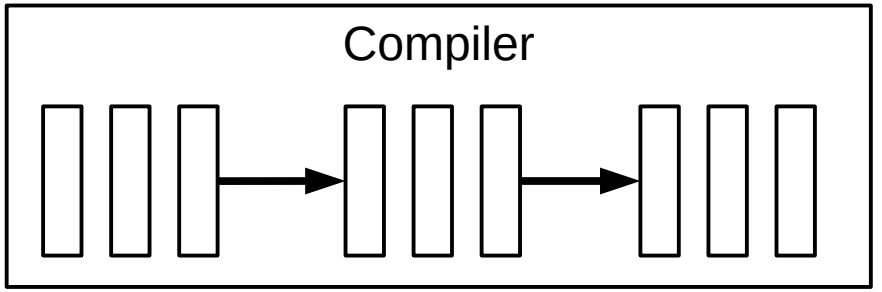
- Front end: understand the program (src → IR)
- Back end: encode in target language (IR → targ)
- Primary benefit: easier *re-targeting* to different languages or architectures



Modern compiler framework

- Front-end passes
  - Scanning (lexical analysis
  - Parsing (syntactic analysis)
- Middle-end passes
  - Static/semantic analysis
  - IR code generation
  - IR optimization
- Back-end passes
  - Instruction selection
  - Machine code optimization
  - Register allocation
  - Instruction scheduling
  - Assembling/linking
- Modern approach: nanopasses
  - Dozens or hundreds of passes (`https://llvm.org/docs/Passes.html`)





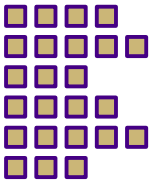
Our Decaf compiler

Source code

```
int main() {
    int x
      = 4 + 5;
    return x;
}
```

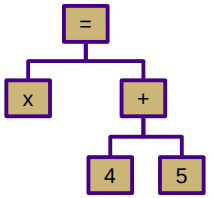
Lexing (P1)

Tokens



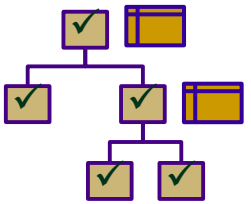
Parsing (P2)

Syntax tree

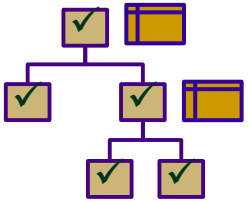


Analysis (P3)

Checked AST + Symtables



Checked AST + Symtables



IR Code Gen (P4)

ILOC

```
main:
  loadI 4 => r1
  loadI 5 => r2
  add r1, r2 => r3
  i2i r3 => RET
```

Run via ILOC interpreter

Register Allocation (P5)

Optimized Linear IR

```
main:
  loadI 4 => r0
  loadI 5 => r1
  add r0, r1 => r0
  i2i r0 => RET
```

Machine Code Gen

Y86

```
irmovq $4, %rcx
irmovq $5, %rdx
addq %rcx, %rdx
rrmovq %rdx, %rax
ret
```

Run via yas + 261 P4

Compiler rule #2

- The compiler should *help* the programmer in some way
  - What does *help* mean?

Discussion question

- What would be your design goals for a compiler?
  - E.g., what functionality or properties would you like it to have?
  - (Besides rule #1 – correct translation)

Compiler design goals

- Optimize for fast execution
- Minimize memory/energy use
- Catch software defects early
- Provide helpful error messages
- Run quickly
- Be easily extendable

Differing design goals

- What differences might you expect in compilers designed for the following applications?
  - A compiler used in an introductory programming course
  - A compiler used to build scientific computing codes to run on a massively-parallel supercomputer
  - A compiler that targets an embedded sensor network platform
  - A just-in-time compiler for running server-side user scripts
  - A compiler that targets a number of diverse systems

- Optimize for fast execution
- Minimize memory/energy use
- Catch software defects early

- Provide helpful error messages
- Run quickly
- Be easily extendable

Decaf language

- Simple imperative language similar to C or Java
- Example:

```
// add.decaf - simple addition example

def int add(int x, int y)
{
    return x + y;
}

def int main()
{
    int a;
    a = 3;
    return add(a, 2);
}
```

```
$ ./decaf add.decaf
RETURN VALUE = 5
```

Before Friday

- Readings
  - "Engineering a Compiler" (EAC2e) Ch. 1 (23 pages)
  - Decaf reference, sections 1-4 ("Resources" page on website)
- Tasks
  - **Complete welcome survey on Canvas**
  - **Complete first reading quiz on Canvas**
  - If you have time, write some code in Decaf and test the reference compiler:
    - `/cs/students/cs432/f25/decaf`
  - Bring your laptop on Friday if you can, and be ready to start promptly at the beginning of class!

Upcoming college events

- September 8 (Mon), 11am-5pm
  - Various career fair prep events, King 259
  - Detailed schedule sent out from CISE soon
- September 9 (Tue), 11am-3pm
  - CISE Career and Internship Fair, Festival Ballroom

Closing exhortations

- Take care of yourself
  - And if you can, someone else
  - Build (or reconnect with) a support network
  - Protect your boundaries
  - Carve out time to disconnect and rest
  - Talk to someone if things start getting overwhelming
- Have a great semester!