

# CS 432

## Fall 2023

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AN x64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING OS X, WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND ITS GECKO RENDERER, WHICH CREATES A FLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.



I AM A GOD.

## Runtime Environments

# Runtime Environment

- Programs run in the context of a **system**
  - Instructions, registers, memory, I/O ports, etc.
- Compilers must emit code that uses this system
  - Must obey the rules of the hardware and OS
  - Must be interoperable with shared libraries compiled by a different compiler
- Memory conventions:
  - **Stack** (used for procedure calls)
  - **Heap** (used for dynamic memory allocation)

# Procedures

- A **procedure** is a portion of code packaged for re-use
  - Key abstraction in software development
  - Provides **modularity**, **encapsulation**, and **information hiding**
- Common characteristics
  - Single entry point, (potentially) multiple exit points
  - Caller is suspended while procedure is executing
  - Control returns to caller when procedure completes
  - Caller and callee info stored on stack
- **Procedure** vs. **function** vs. **method**
  - We'll use “subprogram” as a synonym for “procedure”
  - Functions generally have return values
  - Methods have an associated object (the **receiver**)

# Procedures

- New-ish terms
  - **Header**: signaling syntax for defining a procedure
  - **Parameter profile**: number, types, and order of parameters
  - **Signature/protocol**: parameter types and return type(s)
  - **Prototype**: declaration without a full definition
  - **Referencing environment**: variables visible inside a procedure
  - **Name space / scope**: set of visible names
  - **Aliases**: different names for the same location
  - **Caller**: procedure that calls another procedure
  - **Callee**: procedure called by another procedure
  - **Call site**: location of a procedure invocation
  - **Return address**: destination in caller after call completes

# Parameters

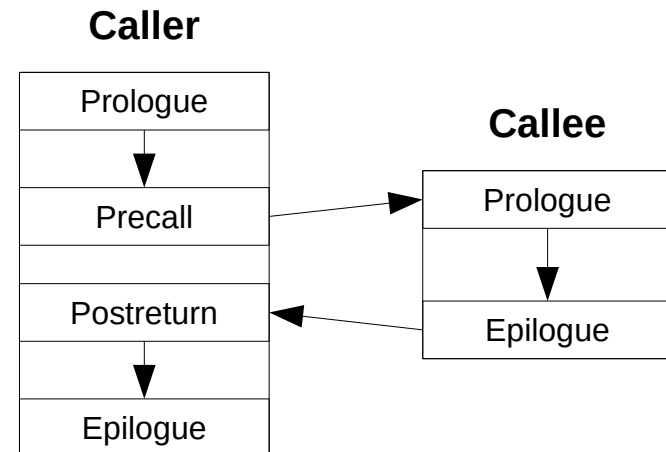
- **Formal** vs. **actual** parameters
  - Formal: parameter inside procedure definition
  - Actual: parameter at call site
- **Semantic models: *in, out, in-out***
- Implementations (key differences are *when* values are copied and exactly *what* is being copied)
  - **Pass-by-value** (*in, value*)
  - **Pass-by-result** (*out, value*)
  - **Pass-by-copy** (*in-out, value*)
  - **Pass-by-reference** (*in-out, reference*)
  - **Pass-by-name** (*in-out, name*)

# Parameters

- **Pass-by-value**
  - Pro: simple
  - Con: costs of allocation and copying
  - Often the default
- **Pass-by-reference**
  - Pro: efficient (only copy 32/64 bits)
  - Con: hard to reason about, extra layer of indirection, aliasing issues
  - Often used in object-oriented languages

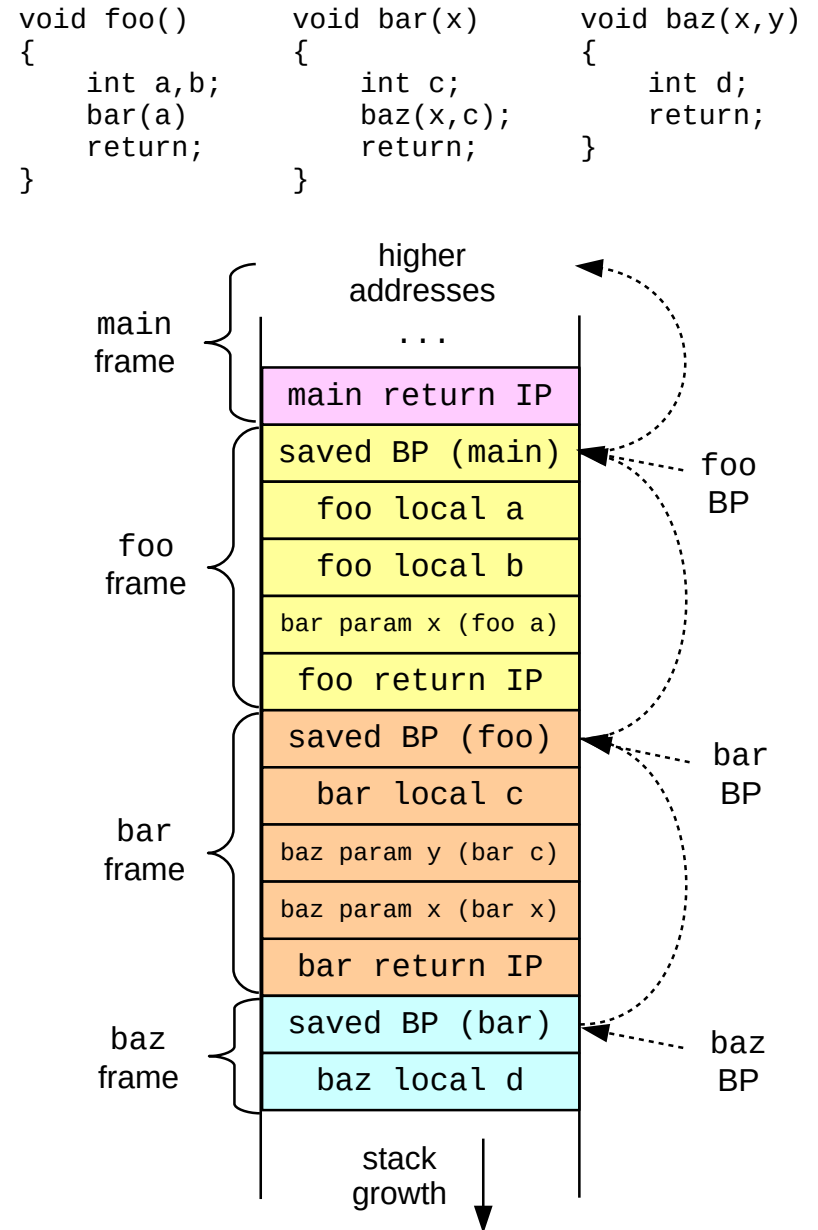
# Procedure Activation

- Caller and callee must agree on **calling conventions**
- Standard calling contract:
  - Caller: **precall** sequence
    - Evaluate and store parameters
    - Save return address
    - Transfer control to callee
  - Callee: **prologue** sequence
    - Save & initialize base pointer
    - Allocate space for local variables
  - Callee: **epilogue** sequence
    - De-allocate activation record
    - Transfer control back to caller
  - Caller: **postreturn** sequence
    - Clean up parameters



# General Stack Layout

- **Stack pointer (SP)**
  - Top of stack (lowest address)
- **Base pointer (BP)**
  - Start of current frame (i.e., saved BP)
  - $r_{arp}$  in EAC (CS 432)
  - EP in Sebesta (CS 430)
- **Stack frame / activation record** per call
  - Parameters
    - Positive offset from BP
  - **Saved return address (required)**
  - Saved BP (**dynamic link**)
  - Local variables
    - Negative offset from BP
    - Allocated by decrementing SP





# Calling Conventions

	Integral parameters	Base pointer	Caller-saved registers	Return value
cdecl (x86)	On stack (RTL)	Always saved	EAX, ECX, EDX	EAX
x86-64 (x64)	RDI, RSI, RDX, RCX, R8, R9, then on stack (RTL)	Saved only if necessary	RAX, RCX, RDX, R8-R11	RAX
ILOC	On stack (RTL)	Always saved	All virtual registers	RET

# x86 Calling Conventions

## Prologue:

```
push %ebp           ; save old base pointer  
mov  %esp, %ebp    ; save top of stack as base pointer  
sub  X, %esp       ; reserve X bytes for local vars
```

## Within function:

```
+OFFSET(%ebp)      ; function parameter  
-OFFSET(%ebp)      ; local variable
```

## Epilogue:

```
<optional: save return value in %eax>  
mov  %ebp, %esp    ; restore old stack pointer  
pop  %ebp          ; restore old base pointer  
ret                ; pop stack and jump to popped address
```

## Function calling:

```
<push parameters> ; precall  
call <fname>     ; save return address and jump  
<dealloc parameters> ; postreturn
```

**Much of prologue & epilogue is optional in x86-64**

# ILOC Calling Conventions

Prologue:

```
    push BP           ; save old base pointer
    i2i  SP => BP     ; save top of stack as base pointer
    addI SP, -X => SP ; reserve X bytes for local vars
                       (required even if there are no local vars – X may need to be
                       adjusted during register allocation for spilled registers)
```

Within function:

```
    [BP+OFFSET]      ; function parameter
    [BP-OFFSET]      ; local variable
```

Epilogue:

```
    <optional: save return value in RET>
    i2i  BP => SP     ; restore old stack pointer
    pop  BP          ; restore old base pointer
    return          ; pop stack and jump to popped address
```

Function calling:

```
    <push parameters> ; precall
    call <fname>      ; save return address and jump
    <dealloc parameters> ; postreturn
```

**Described in detail in section 8 of Decaf reference**

# Example

```
def void foo()  
{  
}  
  
def int main()  
{  
    foo();  
    return 0;  
}
```

```
foo:  
    push BP                ; prologue  
    i2i SP => BP           ;  
    addI SP, 0 => SP      ;  
l0:  
    i2i BP => SP          ; epilogue  
    pop BP                ;  
    return                ;  
  
main:  
    push BP                ; prologue  
    i2i SP => BP           ;  
    addI SP, 0 => SP      ;  
    call foo  
    addI SP, 0 => SP      ;  
    loadI 0 => r0  
    i2i r0 => RET  
    jump l1  
l1:  
    i2i BP => SP          ; epilogue  
    pop BP                ;  
    return                ;
```

# Example

```
def int add(int x, int y)
{
    int sum;
    sum = x + y;
    return sum;
}

def int main()
{
    return add(3, 7);
}
```

```
add:
    push BP                ; prologue
    i2i SP => BP          ;
    addI SP, -8 => SP     ;
    loadAI [BP+16] => r0   ; load param x
    loadAI [BP+24] => r1   ; load param y
    add r0, r1 => r2
    storeAI r2 => [BP-8]   ; store local sum
    loadAI [BP-8] => r3    ; load local sum
    i2i r3 => RET
    jump l0

l0:
    i2i BP => SP          ; epilogue
    pop BP                ;
    return                 ;

main:
    push BP                ; prologue
    i2i SP => BP          ;
    addI SP, 0 => SP      ;
    loadI 3 => r4
    loadI 7 => r5
    push r5                ; precall
    push r4                ;
    call add
    addI SP, 16 => SP     ; postreturn
    i2i RET => r6
    i2i r6 => RET
    jump l1

l1:
    i2i BP => SP          ; epilogue
    pop BP                ;
    return                 ;
```

# PL Design Issues

- There are many procedure-related design questions; decisions are made by language designers w/ impacts on compiler writers
- How are name spaces defined?
  - **Lexical** (static) vs. **dynamic** scope
  - Parent scope determined by code vs. call order
- How are formal/actual parameters associated?
  - Positionally, by name, or both?
- Are parameter default values allowed?
  - For all parameters or just the last one(s)?
- Are method parameters type-checked?
  - Statically or dynamically?

# PL Design Issues

- Can procedures be passed as parameters?
  - How is this implemented? (e.g., with *closures*)
- Can procedures be *nested*?
  - *Lexical* or *dynamic* scoping?
- Can procedures be *polymorphic*?
  - Ad-hoc/manual, subtype, or parametric/generic?
- Can method calls be resolved at runtime?
  - *Static* vs. *dynamic* dispatch (and *single* vs. *multiple* dispatch)
- Are function **side effects** allowed?
- Can a function return multiple values?

# Object-Oriented Languages

- **Classes** vs. **objects**
- **Inheritance** relationships (subclass/superclass)
  - Single vs. multiple inheritance
- Closed vs. open **class structure**
- **Visibility**: public vs. private vs. protected
- Static vs. dynamic **dispatch** (and single vs. multiple)
- **Object-records** and **virtual method tables**



# Miscellaneous Topics

- **Macros**
  - Call-by-name, “executed” at compile time
  - Often provide call-by-name semantics
- **Closures**
  - A procedure and its referencing environment
  - Requires a more general structure than the stack
- **Just-in-time (JIT) compilation**
  - Defer compilation of each function until it is called
  - New chapter in EAC3e!

# Heap Management

- Desired properties
  - Space efficiency
  - Exploitation of locality (time and space)
  - Low overhead
- **Allocation** (malloc/new)
  - First-fit vs. best-fit vs. next-fit
  - Coalescing free space (defragmentation)
- **Manual deallocation** (free/delete)
  - Dangling pointers
  - Memory leaks

# Automatic De-allocation

- Criteria: overhead, pause time, space usage, locality impact
- Basic problem: finding reachable structures
  - **Root set**: static and stack pointers
  - Recursively follow pointers through heap structures
- **Reference counting** (incremental)
  - Memory/time overhead to track the number of active references to each structure
  - Catch the transition to unreachable (count becomes zero)
  - Has trouble with cyclic data structures
- **Mark-sweep** (batch-oriented)
  - Occasionally pause and detect unreachable structures
  - High time overhead and potentially undesirable "pause the world" semantics
  - Partial collection: collect only a subset of memory on each run
  - Generational collection: collect newer objects more often