

CS 432 Fall 2018

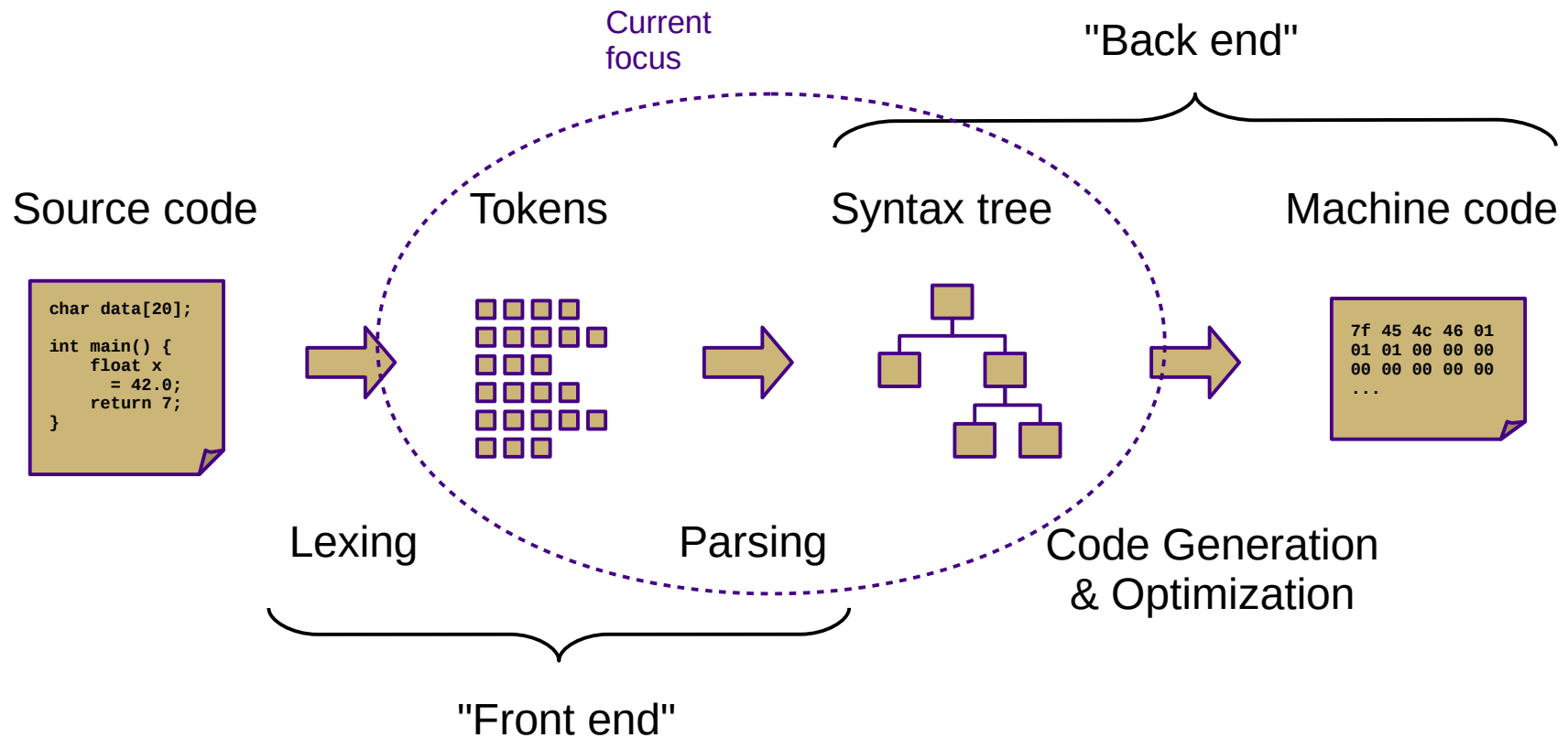
Mike Lam, Professor



*[audience looks around] "What just happened?"
"There must be some context we're missing."*

Context-free Grammars

Compilation



Overview

- General programming language topics
 - **Syntax** (what a program looks like)
 - **Semantics** (what a program means)
 - **Implementation** (how a program executes)

Syntax

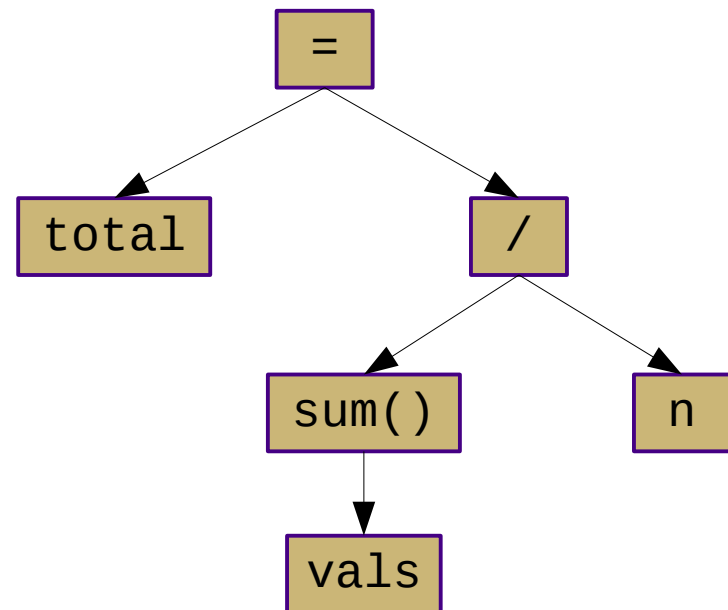
- Textbook: "the form of [a language's] expressions, statements, and program units."
 - In other words, the **form** or **structure** of the code
- Goals of **syntax analysis**:
 - Checking for program validity or correctness
 - Facilitate translation (compiler) or execution (interpreter) of a program

Syntax Analysis

- Tokens have no structure
 - No inherent relationship between each other
 - Need a way to describe hierarchy in a way that is closer to the *semantics* of the language

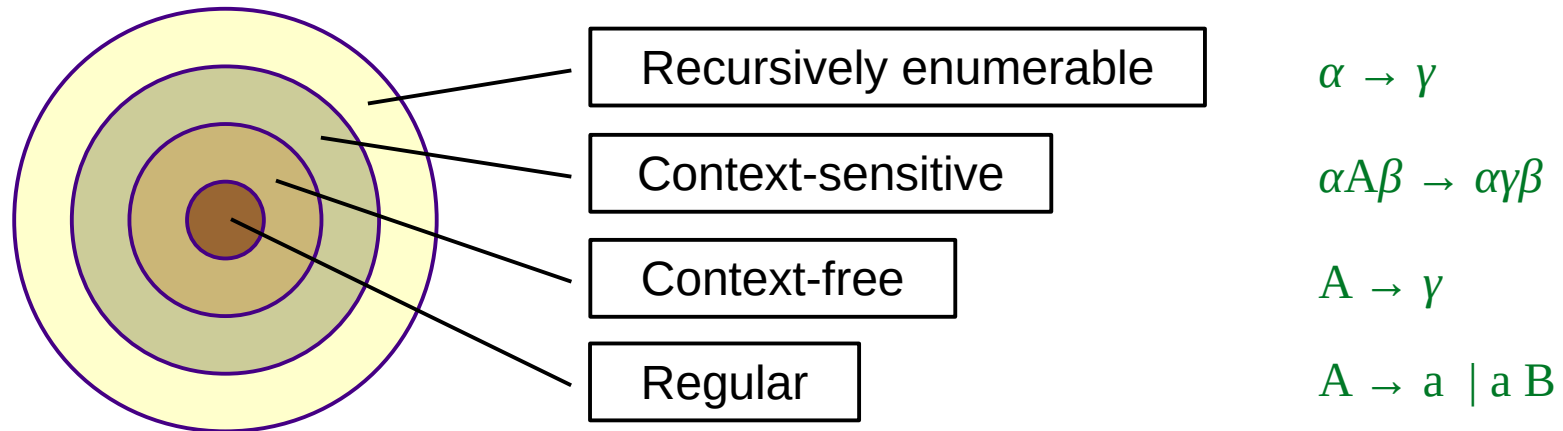
`total = sum(vals) / n`

<code>total</code>	identifier
<code>=</code>	equals_op
<code>sum</code>	identifier
<code>(</code>	left_paren
<code>vals</code>	identifier
<code>)</code>	right_paren
<code>/</code>	divide_op
<code>n</code>	identifier



Languages

Chomsky Hierarchy of Languages



NOTE: Greek letters (α, β, γ) indicate arbitrary strings of terminals and/or non-terminals

- Regular languages are not sufficient to describe programming languages
 - Core issue: finite DFAs can't “count:” no way to express $a^m b^n$ where $n = f(m)$
 - Consider the language of all matched parentheses $(^n)^n$
 - How can we solve this to make it feasible to write a compiler?

Add memory! (and move up the language hierarchy)

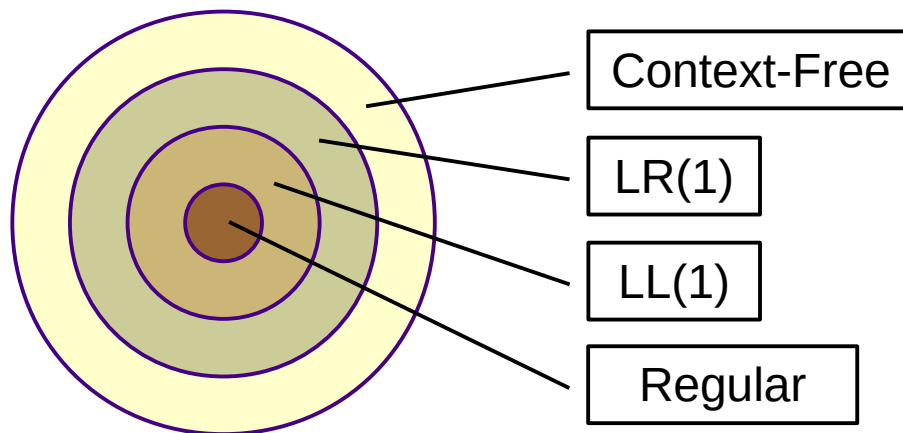
Syntax Analysis

- **Context-free language**
 - More expressive than regular languages
 - Encodes hierarchy and structure of language tokens
 - Usually represented using a tree
 - Described by *context-free grammars*
 - Recursive description of the language's form
 - Usually written in Backus-Naur Form
 - Recognized by *pushdown automata*
 - Two major approaches: top-down and bottom-up
 - Next two weeks
 - Provide ways to control ***ambiguity***, ***associativity***, and ***precedence*** in a language

Context-Free Grammars

- A **context-free grammar** is a 4-tuple (T, NT, S, P)
 - T: set of terminal symbols (tokens)
 - NT: set of nonterminal symbols
 - S: start symbol ($S \in NT$)
 - P: set of productions or rules:
 - $NT \rightarrow (T \cup NT)^*$

Example:

$$\begin{aligned} S &\rightarrow x S x \\ S &\rightarrow y \end{aligned}$$


**Context-Free
Hierarchy**

Context-Free Grammars

- *Non-terminals* vs. *terminals*
 - Terminals are single tokens, non-terminals are aggregations
 - One special non-terminal: the *start symbol*
- Production *rules*
 - Left hand side: **single non-terminal**
 - Right hand side: **sequence of terminals** and/or **non-terminals**
 - LHS can be replaced by the RHS (colloquially: "is composed of")
 - RHS can be empty (or " ϵ "), meaning it can be composed of nothing
- *Sentence*: a sequence of terminals
 - A sentence is a member of a language if and only if it can be **derived** using the language's grammar

Context-Free Grammars

- *Derivation*: a series of grammar-permitted transformations leading to a sentence
 - Begin with the grammars start symbol (a non-terminal)
 - Each transformation applies exactly one rule
 - Expand one non-terminal to a string of terminals and/or non-terminals
 - Each intermediate string of symbols is a *sentential form*
 - *Leftmost* vs. *rightmost* derivations
 - Which non-terminal do you expand first?
 - *Parse tree* represents a derivation in tree form (the sentence is the sequence of all leaf nodes)
 - Built from the top down during derivation
 - Final parse tree is called *complete* parse tree
 - For a compiler: represents a program, executed from the bottom up

Context-Free Grammars

- **Backus-Naur Form**: list of context-free grammar rules
 - Usually beginning with start symbol
 - Convention: non-terminals start with upper-case letters
 - Combine rules using “|” operator:

$$\begin{array}{l} E \rightarrow E + E \\ E \rightarrow V \end{array}$$
$$\begin{array}{l} E \rightarrow E + E \\ \quad | \quad V \end{array}$$
$$E \rightarrow E + E \mid V$$

- Several formatting variants:

$$\begin{array}{l} \langle \text{Assign} \rangle ::= \langle \text{Var} \rangle = \langle \text{Expr} \rangle \\ \langle \text{Var} \rangle ::= a \mid b \mid c \\ \langle \text{Expr} \rangle ::= \langle \text{Expr} \rangle + \langle \text{Expr} \rangle \\ \quad \quad | \quad \langle \text{Var} \rangle \end{array}$$
$$\begin{array}{l} A \rightarrow V = E \\ V \rightarrow a \mid b \mid c \\ E \rightarrow E + E \\ \quad | \quad V \end{array}$$

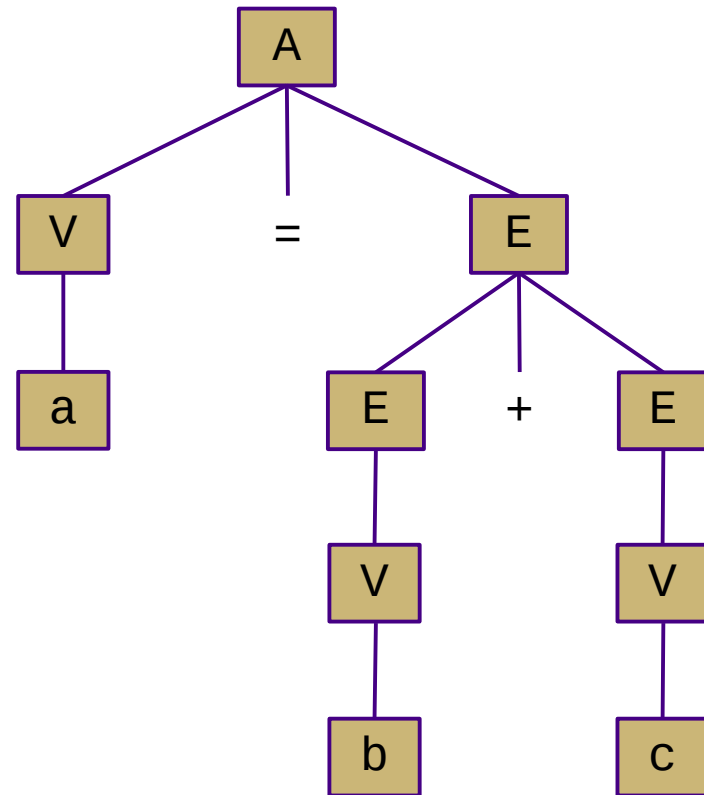
Example

- Show the leftmost derivation and parse tree of the sentence "a = b + c" using this grammar:

$$\begin{array}{l} A \rightarrow V = E \\ V \rightarrow a \mid b \mid c \\ E \rightarrow E + E \\ \quad \mid V \end{array}$$

Example

- Show the leftmost derivation and parse tree of the sentence "a = b + c" using this grammar:

$$\begin{array}{l} A \rightarrow V = E \\ V \rightarrow a \mid b \mid c \\ E \rightarrow E + E \\ \quad \mid V \end{array}$$
$$\begin{array}{l} A \\ V = E \\ a = E \\ a = E + E \\ a = V + E \\ a = b + E \\ a = b + V \\ a = b + c \end{array}$$


Example

- Let's revisit the “matched parentheses” problem
 - Cannot write a regular expression for $(^n)^n$
 - How about a context-free grammar?
 - First attempt:

$$\begin{aligned} S &\rightarrow \underline{(S)} \\ S &\rightarrow \varepsilon \end{aligned}$$

Use underlining to indicate literal terminals when ambiguous

- Second attempt:

$$\begin{aligned} S &\rightarrow \underline{(S)} S \\ S &\rightarrow \varepsilon \end{aligned}$$

What (if anything) is wrong with this:

$$\begin{aligned} S &\rightarrow S \underline{(S)} S \\ S &\rightarrow \varepsilon \end{aligned}$$

Ambiguous Grammars

- An **ambiguous** grammar allows multiple derivations (and therefore parse trees) for the same sentence
 - The semantics may be similar, but there is a difference syntactically!
 - Example: if/then/else construct
 - It is important to be precise!
- Often can be eliminated by rewriting the grammar
 - Usually by making one or more rules more restrictive

$$\begin{array}{l} A \rightarrow A + A \\ | A * A \\ | x \end{array}$$

Ambiguous
(Associativity/Precedence)

$$\begin{array}{l} A \rightarrow B \mid C \\ B \rightarrow x \\ C \rightarrow x \end{array}$$

Ambiguous
(Ad-hoc)

$$\begin{array}{l} A \rightarrow \text{ifthen } A \text{ else } A \\ | \text{ifthen } A \\ | \text{stmt} \end{array}$$

Ambiguous
("Dangling Else" Problem)

Operator Associativity

- Does $x+y+z = (x+y)+z$ or $x+(y+z)$?
 - Former is **left-associative**
 - Latter is **right-associative**
- Closely related to recursion
 - Left-hand recursion \rightarrow left associativity
 - Right-hand recursion \rightarrow right associativity
- Sometimes enforced explicitly in a grammar
 - Different non-terminals on left- and right-hand sides of an operator
 - Sometimes just noted with annotations

$$\begin{array}{l} A \rightarrow A + X \\ | \quad X \end{array}$$

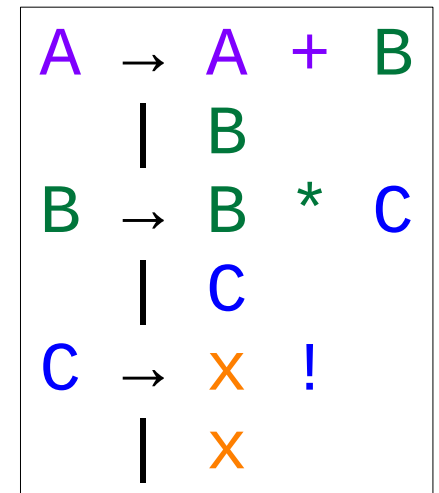
Left Associative

$$\begin{array}{l} A \rightarrow X + A \\ | \quad X \end{array}$$

Right Associative

Operator Precedence

- **Precedence** determines the relative priority of operators
- Does $x+y*z = (x+y)*z$ or $x+(y*z)$?
 - Former: "+" has higher precedence
 - Latter: "*" has higher precedence
- Sometimes enforced explicitly in a grammar
 - One non-terminal for each level of precedence
 - Each level contains references to the next level
 - Sometimes just noted with annotations
 - Same approach for **unary** and **binary** operators
 - For binary operators: left or right associativity?
 - For unary operators: prefix or postfix?
 - For unary operators: is repetition allowed?



Precedence

+ (lowest)

* (middle)

! (highest)

Grammar Examples

$$\begin{array}{l} A \rightarrow A X \\ | X \end{array}$$

Left Recursive

$$\begin{array}{l} A \rightarrow X A \\ | X \end{array}$$

Right Recursive

$$\begin{array}{l} A \rightarrow A + X \\ | X \end{array}$$

Left Associative

$$\begin{array}{l} A \rightarrow X + A \\ | X \end{array}$$

Right Associative

$$\begin{array}{l} A \rightarrow A + B \\ | B \\ B \rightarrow C * B \\ | C \\ C \rightarrow X ! \\ | X \end{array}$$

Associativity/Precedence

+ (lowest, left-associative)

* (middle, right-associative)

! (highest, postfix unary)

$$\begin{array}{l} A \rightarrow A + A \\ | A * A \\ | X \end{array}$$

Ambiguous

(Associativity/Precedence)

$$\begin{array}{l} A \rightarrow B | C \\ B \rightarrow X \\ C \rightarrow X \end{array}$$

Ambiguous

(Ad-hoc)

$$\begin{array}{l} A \rightarrow \text{ifthen } A \text{ else } A \\ | \text{ifthen } A \\ | \text{stmt} \end{array}$$

Ambiguous

("Dangling Else" Problem)