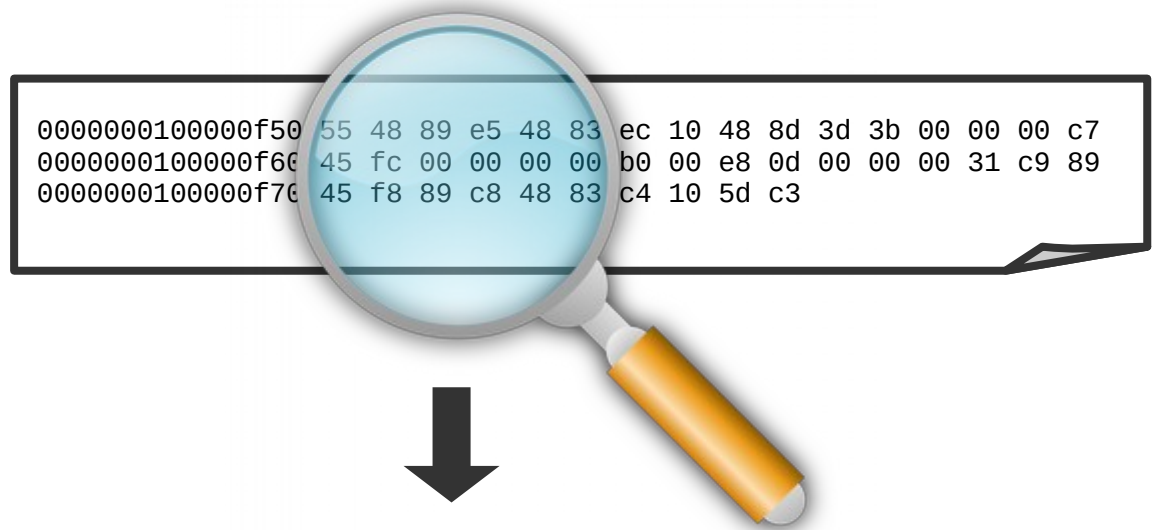


# CS 261 Fall 2019

Mike Lam, Professor



```
_main:  
00000000100000f50    pushq    %rbp  
00000000100000f51    movq     %rsp, %rbp  
00000000100000f54    subq     $0x10, %rsp  
00000000100000f58    leaq    0x3b(%rip), %rdi  
00000000100000f5f    movl    $0x0, -0x4(%rbp)  
00000000100000f66    movb    $0x0, %al  
00000000100000f68    callq   0x100000f7a  
00000000100000f6d    xorl    %ecx, %ecx
```

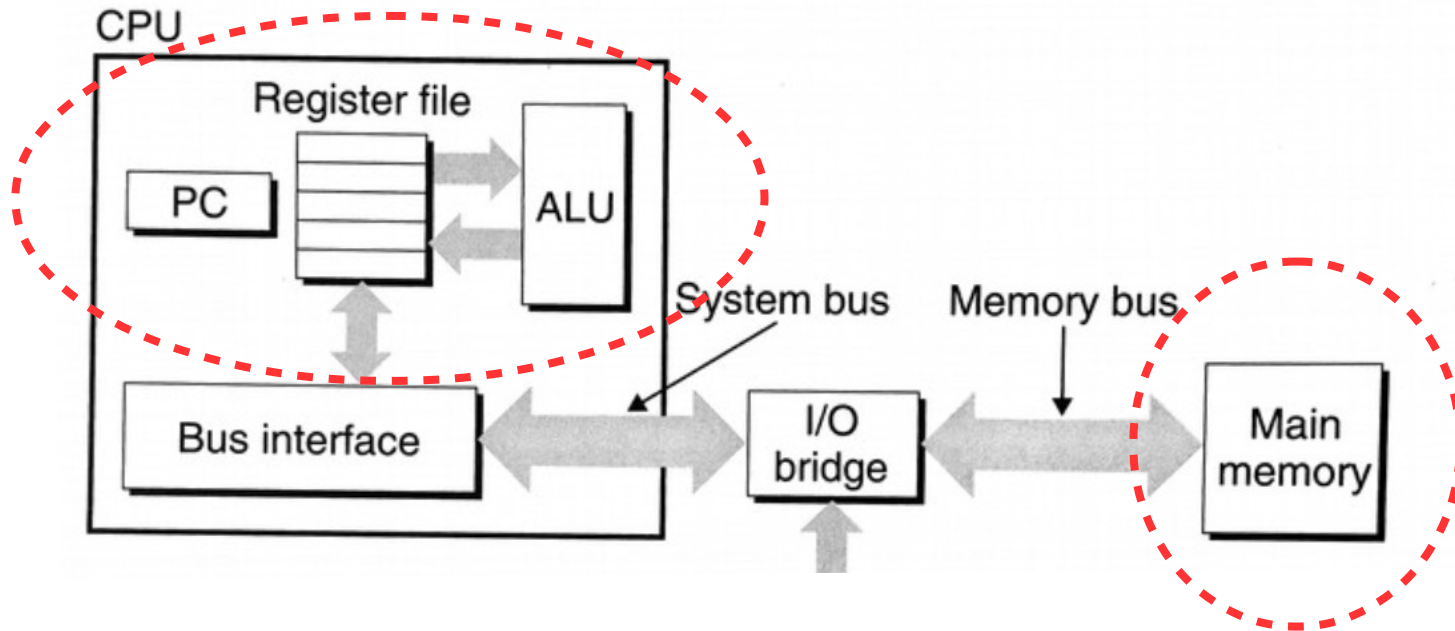
## Machine and Assembly Code

Data Movement and Arithmetic

# Topics

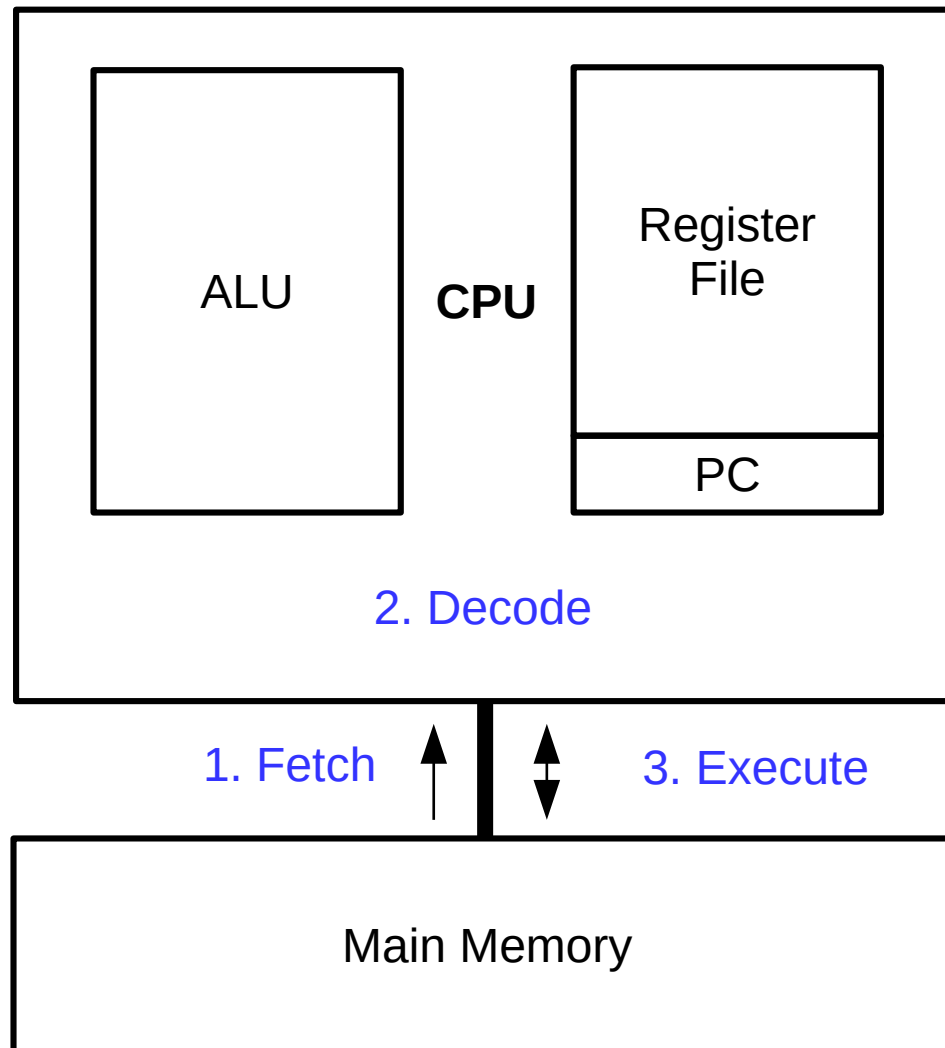
- Architecture/assembly intro
- Data formats
- Data movement
- Arithmetic and logical operations

# Computer systems



**Let's focus for now on the single-CPU components**

# von Neumann architecture



# Machine code

- **Machine code**

- Variable-length binary encoding of **opcodes** and *operands*
- Program is stored in memory along with data
- Specific to a particular CPU architecture (e.g., x86-64)
- Looks very different than the original C code!

```
int add (int num1, int num2)
{
    return num1 + num2;
}
```



```
0000000000400606 <add>:
400606:    55
400607:    48 89 e5
40060a:    89 7d fc
40060d:    89 75 f8
400610:    8b 55 fc
400613:    8b 45 f8
400616:    01 d0
400618:    5d
400619:    c3
```

# Machine code

- Machine instructions are specified by an **instruction set architecture** (ISA)
  - **x86-64** (x64) is the current dominant workstation/server architecture
    - **ARM** is used in embedded and mobile markets
    - **POWER** is used in the high-performance market (supercomputers!)
    - **RISC-V** is used in CPU research (and is growing in the industrial market)
  - x86-64 has an **enormous**, complex instruction set
    - Lots of legacy features and support for previous ISAs
    - We'll learn a bit of it now, then later focus on a simplified form called **Y86**

```
0000000000400606 <add>:  
400606:    55  
400607:    48 89 e5  
40060a:    89 7d fc  
40060d:    89 75 f8  
400610:    8b 55 fc  
400613:    8b 45 f8  
400616:    01 d0  
400618:    5d  
400619:    c3
```

# Assembly code

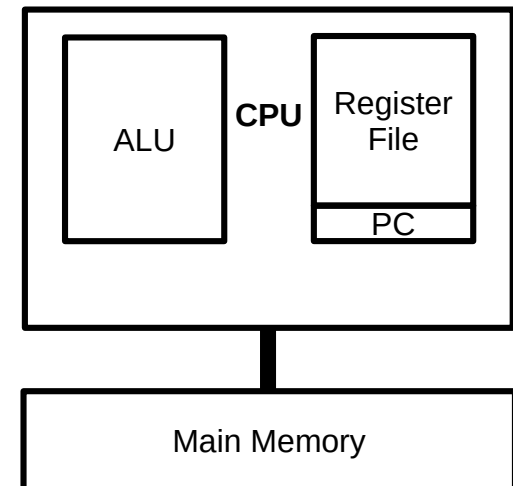
- **Assembly code**: human-readable form of machine code
  - Each indented line of text represents a single machine code instruction
    - Two main x86-64 formats: **Intel** and **ATT** (we'll use the latter)
    - Use "#" to denote comments (extends to end of line)
  - Generated from C code by compiler (not a simple process!)
  - **Disassemblers** like **objdump** can extract assembly from an executable
  - Understanding assembly helps you to debug, optimize, and secure your programs

		opcode	operands
0000000000400606	<add>:		
400606:	<b>55</b>	<b>push</b>	<b>%rbp</b>
400607:	<b>48 89 e5</b>	<b>mov</b>	<b>%rsp,%rbp</b>
40060a:	<b>89 7d fc</b>	<b>mov</b>	<b>%edi, -0x4(%rbp)</b>
40060d:	<b>89 75 f8</b>	<b>mov</b>	<b>%esi, -0x8(%rbp)</b>
400610:	<b>8b 55 fc</b>	<b>mov</b>	<b>-0x4(%rbp), %edx</b>
400613:	<b>8b 45 f8</b>	<b>mov</b>	<b>-0x8(%rbp), %eax</b>
400616:	<b>01 d0</b>	<b>add</b>	<b>%edx, %eax</b>
400618:	<b>5d</b>	<b>pop</b>	<b>%rbp</b>
400619:	<b>c3</b>	<b>retq</b>	

# Assembly code

- Assembly provides low-level access to machine
  - **Program counter** (PC) tracks current instruction
    - Like a bookmark; also referred to as the **instruction pointer** (IP)
  - **Arithmetic logic unit** (ALU) executes **opcode** of instructions
    - Today, we'll focus on **data movement** and **arithmetic** opcodes
  - **Register file & main memory** store *operands*
    - Registers are faster but main memory is larger

		opcode	operands
0000000000400606	<add>:		
400606:	<b>55</b>	<b>push</b>	<b>%rbp</b>
400607:	<b>48 89 e5</b>	<b>mov</b>	<b>%rsp,%rbp</b>
40060a:	<b>89 7d fc</b>	<b>mov</b>	<b>%edi, -0x4(%rbp)</b>
40060d:	<b>89 75 f8</b>	<b>mov</b>	<b>%esi, -0x8(%rbp)</b>
400610:	<b>8b 55 fc</b>	<b>mov</b>	<b>-0x4(%rbp), %edx</b>
400613:	<b>8b 45 f8</b>	<b>mov</b>	<b>-0x8(%rbp), %eax</b>
400616:	<b>01 d0</b>	<b>add</b>	<b>%edx,%eax</b>
400618:	<b>5d</b>	<b>pop</b>	<b>%rbp</b>
400619:	<b>c3</b>	<b>retq</b>	





# Operand types

- Immediate
  - Operand value embedded in instruction itself
  - Extends the size of the instruction by the width of the value
  - Written in assembly using “\$” prefix (e.g., `$42` or `$0x1234`)
- Register
  - Operand stored in register file
  - Accessed by **register number**
  - Written in assembly using name and “%” prefix (e.g., `%eax` or `%rsp`)
- Memory
  - Operand stored in main memory
  - Accessed by **effective address** calculated from instruction components
  - Written in assembly using a variety of **addressing modes**

# Registers

- General-purpose
  - AX: accumulator
  - BX: base
  - CX: counter
  - DX: address
  - SI: source index
  - DI: dest index
- Special
  - BP: base pointer
  - SP: stack pointer
  - **FLAGS: status info**
    - "Condition codes" in CS:APP
  - IP: instruction pointer
    - This is the PC on x86-64

eXX = lower 32-bits (e.g., eax)  
 rXX = full 64 bits (e.g., rax)

register encoding	zero-extended for 32-bit operands	not modified for 16-bit operands	not modified for 8-bit operands	low 8-bit	16-bit	32-bit	64-bit
0			AH*	AL	AX	EAX	RAX
3			BH*	BL	BX	EBX	RBX
1			CH*	CL	CX	ECX	RCX
2			DH*	DL	DX	EDX	RDY
6				SIL**	SI	ESI	RSI
7				DIL**	DI	EDI	RDI
5				BPL**	BP	EBP	RBP
4				SPL**	SP	ESP	RSP
8				R8B	R8W	R8D	R8
9				R9B	R9W	R9D	R9
10				R10B	R10W	R10D	R10
11				R11B	R11W	R11D	R11
12				R12B	R12W	R12D	R12
13				R13B	R13W	R13D	R13
14				R14B	R14W	R14D	R14
15				R15B	R15W	R15D	R15

63	32	31	16	15	8	7	0
0							
63	32	31	0				

RFLAGS  
 RIP  
515-309.eps  
 \* Not addressable when a REX prefix is used.  
 \*\* Only addressable when a REX prefix is used.

# Memory addressing modes

- Absolute: *addr*
  - Effective address: *addr*
- Indirect: (*reg*)
  - Effective address:  $R[reg]$
- Base + displacement: *offset*(*reg*)
  - Effective address: *offset* +  $R[reg]$
- Indexed: *offset*(*reg*<sub>base</sub>, *reg*<sub>index</sub>)
  - Effective address: *offset* +  $R[reg_{base}] + R[reg_{index}]$
- Scaled indexed: *offset*(*reg*<sub>base</sub>, *reg*<sub>index</sub>, *s*)
  - Effective address: *offset* +  $R[reg_{base}] + R[reg_{index}] \cdot s$
  - Scale (*s*) must be 1, 2, 4, or 8

$R[reg]$  = value of register *reg*

useful for  
pointers!

useful for  
arrays!

(also, note that  
*offset* and *reg*<sub>base</sub>  
are optional here)

# Exercise

- Given the following machine status, what is the value of the following assembly operands? (assume 32-bit memory locations)

- \$42
- \$0x10
- %rax
- 0x104
- (%rax)
- 4(%rax)
- 2(%rax, %rdx)
- (%rax, %rdx, 4)

## Registers

<u>Name</u>	<u>Value</u>
%rax	0x100
%rdx	0x2

## Memory

<u>Address</u>	<u>Value</u>
0x100	0xFF
0x104	0xAB
0x108	0x13

# Data sizes

- Historical artifact: "word" in x86 is 16 bits
  - 1 byte (8 bits) = "byte" (b suffix)
  - 2 bytes (16 bits) = "word" (w suffix)
  - 4 bytes (32 bits) = "double word" (l suffix)
  - 8 bytes (64 bits) = "quad word" (q suffix)
- Often, a "class" of instructions will perform similar jobs, but on different sizes of data
  - There are no "types" in assembly code
  - Thus, instruction suffixes and operand sizes must match!
  - E.g., `movq $1, %rax` is valid but `movq $1, %eax` is not

# Data movement

- Primary data movement instruction: "mov"
  - **Copies** data from first operand to second operand
    - E.g., `movq $1, %rax` will set the value of RAX to 1
  - `movb`, `movw`, `movl`, `movq`, `movabsq`
  - `movabsq` is the only form that takes a 64-bit immediate
- Zero-extension variant: "movz"
  - `movzbw`, `movzbl`, `movzwl`, `movzbq`, `movzwwq`
  - Note lack of `movzlq`; just use `movl`, which sets higher 32-bits to zero
- Sign-extension variant: "movs"
  - `movsbw`, `movsbl`, `movswl`, `movsbq`, `movswq`, `movslq`
    - ↑    ↑  
byte-to-word

# x86-64 addresses

- Addresses in x86-64 are always 32 or 64 bits
  - Thus, the registers used to calculate the effective address of a memory operand must be 32 or 64 bits
    - E.g., `movw %ax, (%ebp)` is valid
    - E.g., `movw %ax, (%rbp)` is valid
    - E.g., `movw %ax, (%bp)` is **not valid!**
    - E.g., `movw %ax, %rbp` is **not valid!**
  - This does NOT mean that the instruction will load or store 32/64 bits from/to memory
    - The size of data moved is determined by the instruction suffix
    - Memory locations have no “type” in assembly/machine code

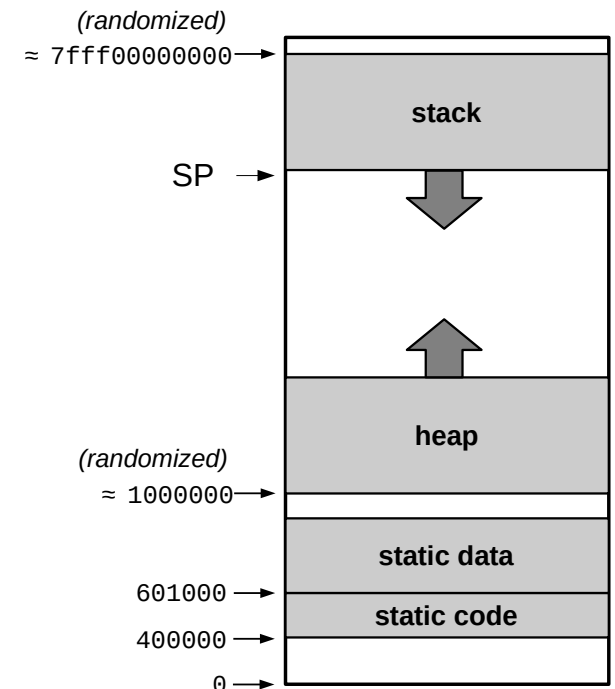
# Validity summary

- Is an instruction valid?
  - Is the opcode valid?
  - Are all of the operands valid?
    - For immediate operands, is it a source register?
      - (cannot write to immediates!)
    - For register operands, is it a valid register?
      - (and does it match the width suffix?)
    - For memory operands, is it a valid addressing mode?
      - (and are all registers used 32- or 64-bits?)



# Stack management

- The **system stack** holds 8-byte (quadword) slots, growing downward from high addresses to low addresses
  - **Stack Pointer** (SP) register stores address of "top" of stack
    - i.e., a pointer to the last value pushed (**lowest** address)
    - On x86-64, it is `%rsp` b/c addresses are 64 bits
  - `pushq <reg>` instruction
    - Subtract 8 from stack pointer
    - Store value of `<reg>` at `(%rsp)`
  - `popq <reg>` instruction
    - Retrieve value at `(%rsp)`
      - Save value in the given register
    - Increment stack pointer by 8



# Exercise

- Given the following register state, what will the values of the registers be after the following instruction sequence?

- pushq %rax
- pushq %rcx
- pushq %rbx
- pushq %rdx
- popq %rax
- popq %rbx
- popq %rcx
- popq %rdx

## Registers

<u>Name</u>	<u>Value</u>
%rax	0xAA
%rbx	0xBB
%rcx	0xCC
%rdx	0xDD

# Arithmetic operations

Instruction		Effect	Description
leaq	$S, D$	$D \leftarrow \&S$	Load effective address
INC	$D$	$D \leftarrow D+1$	Increment
DEC	$D$	$D \leftarrow D-1$	Decrement
NEG	$D$	$D \leftarrow -D$	Negate
NOT	$D$	$D \leftarrow \sim D$	Complement
ADD	$S, D$	$D \leftarrow D + S$	Add
SUB	$S, D$	$D \leftarrow D - S$	Subtract
IMUL	$S, D$	$D \leftarrow D * S$	Multiply
XOR	$S, D$	$D \leftarrow D \wedge S$	Exclusive-or
OR	$S, D$	$D \leftarrow D   S$	Or
AND	$S, D$	$D \leftarrow D \& S$	And
SAL	$k, D$	$D \leftarrow D \ll k$	Left shift
SHL	$k, D$	$D \leftarrow D \ll k$	Left shift (same as SAL)
SAR	$k, D$	$D \leftarrow D \gg_A k$	Arithmetic right shift
SHR	$k, D$	$D \leftarrow D \gg_L k$	Logical right shift

**Figure 3.10 Integer arithmetic operations.** The load effective address (leaq) instruction is commonly used to perform simple arithmetic. The remaining ones are more standard unary or binary operations. We use the notation  $\gg_A$  and  $\gg_L$  to denote arithmetic and logical right shift, respectively. Note the nonintuitive ordering of the operands with ATT-format assembly code.

# Exercise

Instruction	Effect	Description
<code>leaq S, D</code>	$D \leftarrow \&S$	Load effective address
<code>INC D</code>	$D \leftarrow D+1$	Increment
<code>DEC D</code>	$D \leftarrow D-1$	Decrement
<code>NEG D</code>	$D \leftarrow -D$	Negate
<code>NOT D</code>	$D \leftarrow \sim D$	Complement
<code>ADD S, D</code>	$D \leftarrow D + S$	Add
<code>SUB S, D</code>	$D \leftarrow D - S$	Subtract
<code>IMUL S, D</code>	$D \leftarrow D * S$	Multiply
<code>XOR S, D</code>	$D \leftarrow D \wedge S$	Exclusive-or
<code>OR S, D</code>	$D \leftarrow D   S$	Or
<code>AND S, D</code>	$D \leftarrow D \& S$	And
<code>SAL k, D</code>	$D \leftarrow D \ll k$	Left shift
<code>SHL k, D</code>	$D \leftarrow D \ll k$	Left shift (same as SAL)
<code>SAR k, D</code>	$D \leftarrow D \gg_A k$	Arithmetic right shift
<code>SHR k, D</code>	$D \leftarrow D \gg_L k$	Logical right shift

## Registers

Name	Value
<code>%rax</code>	0x12
<code>%rbx</code>	0x56
<code>%rcx</code>	0x02
<code>%rdx</code>	0xF0

What are the values of the destination registers after each of the following instructions executes in sequence?

```
addq %rax, %rax
subq %rax, %rbx
imulq %rcx, %rax
andq %rbx, %rdx
shrq $4, %rdx
```

**Figure 3.10 Integer arithmetic operations.** The load effective address (`leaq`) instruction is commonly used to perform simple arithmetic. The remaining ones are more standard unary or binary operations. We use the notation  $\gg_A$  and  $\gg_L$  to denote arithmetic and logical right shift, respectively. Note the nonintuitive ordering of the operands with ATT-format assembly code.

# Hand-writing x86\_64 assembly

- Minimal template (returns 0; known to work on stu):

```
.globl main
main:

    movq $0, %rax    # your code goes here

    ret
```

- Save in .s file and build with gcc as usual (don't use "-c" flag)
  - Run program and view return value in bash with `echo $?`
- Use gdb to trace execution
  - `start`: begin execution and pause at main
  - `disas`: print disassembly of current function
  - `ni`: next instruction (step over function calls)
  - `si`: step instruction (step into function calls)
  - `p/x $rax`: print value of RAX (note "\$" instead of "%")
  - `info registers`: print values of all registers