

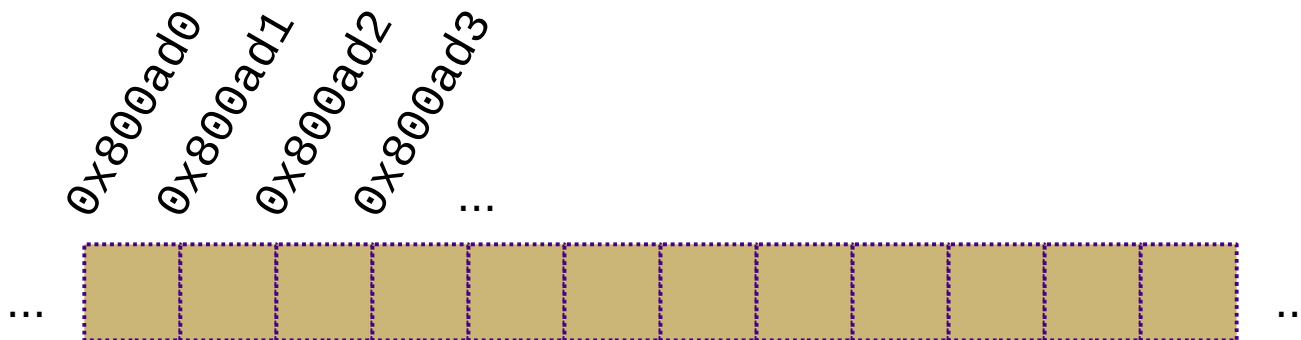
CS240

Mike Lam, Professor

Dynamic Arrays

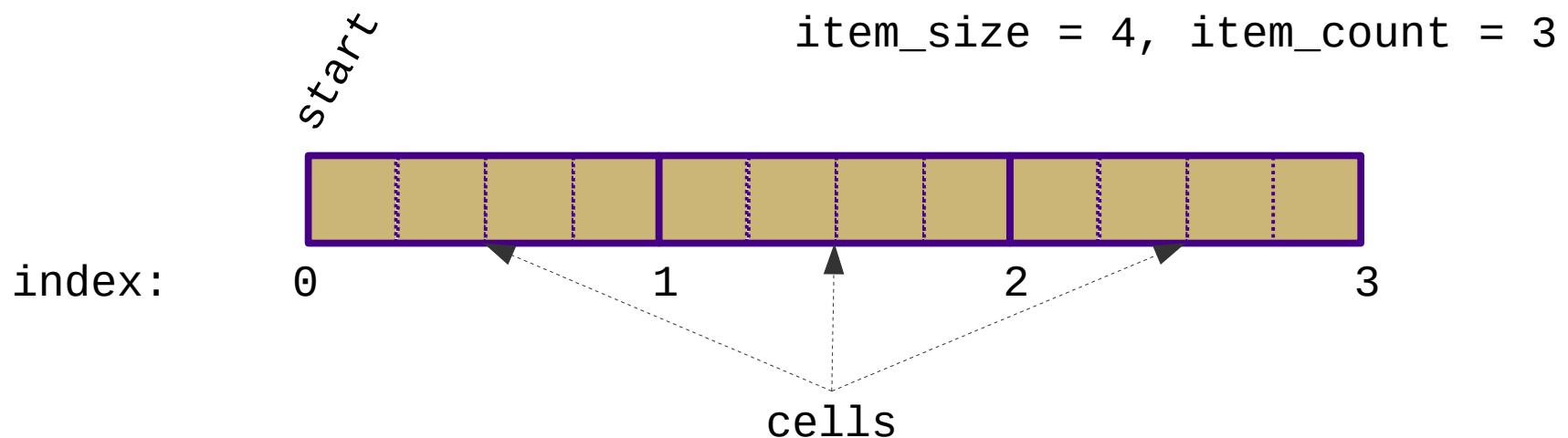
Computer Memory

- Lowest level: sequence of bytes
- Each byte has a 32-bit or 64-bit address
- Every byte is equally easy to access
 - "Random access" memory



Arrays

- Finite sequence of uniformly-sized segments
 - Starting address, item size (in bytes), item count (fixed)
- Each location is a **cell** located at a zero-based **index** offset from the start
 - Address of cell i is $\text{start} + (i * \text{item_size})$



Array Allocation

- Stack (C)
 - `int my_array[n]`
- Heap (C)
 - `my_array = (int*)malloc(n*sizeof(int))`
- Heap (Java)
 - `my_array = new int[n]`

Dynamic Arrays

- Goal: Add items to an array
- Issue: Arrays are fixed-length

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Dynamic Arrays

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- Issue: Arrays are fixed-length
- Naive solution: Resize the array's memory
 - Problem: no guarantee that we can do this!
- More robust solution: Dynamic arrays
 - Allocate more space than currently needed
 - Re-allocate and copy when the original size is exceeded

Dynamic Arrays

original: 0 1 2 3

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Dynamic Arrays

original:

0	1	2	3
1			

Dynamic Arrays

original: 0 1 2 3

1	1		
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Dynamic Arrays

original:

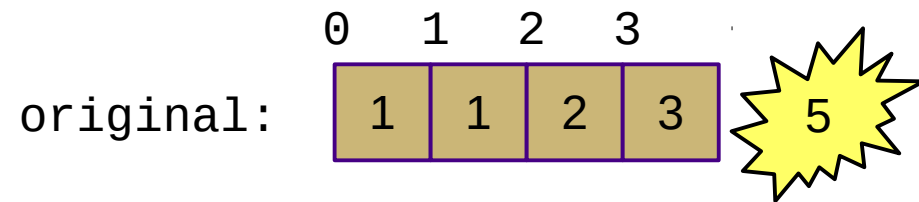
0	1	2	3
1	1	2	

Dynamic Arrays

original: 0 1 2 3

1	1	2	3
---	---	---	---

Dynamic Arrays



Dynamic Arrays

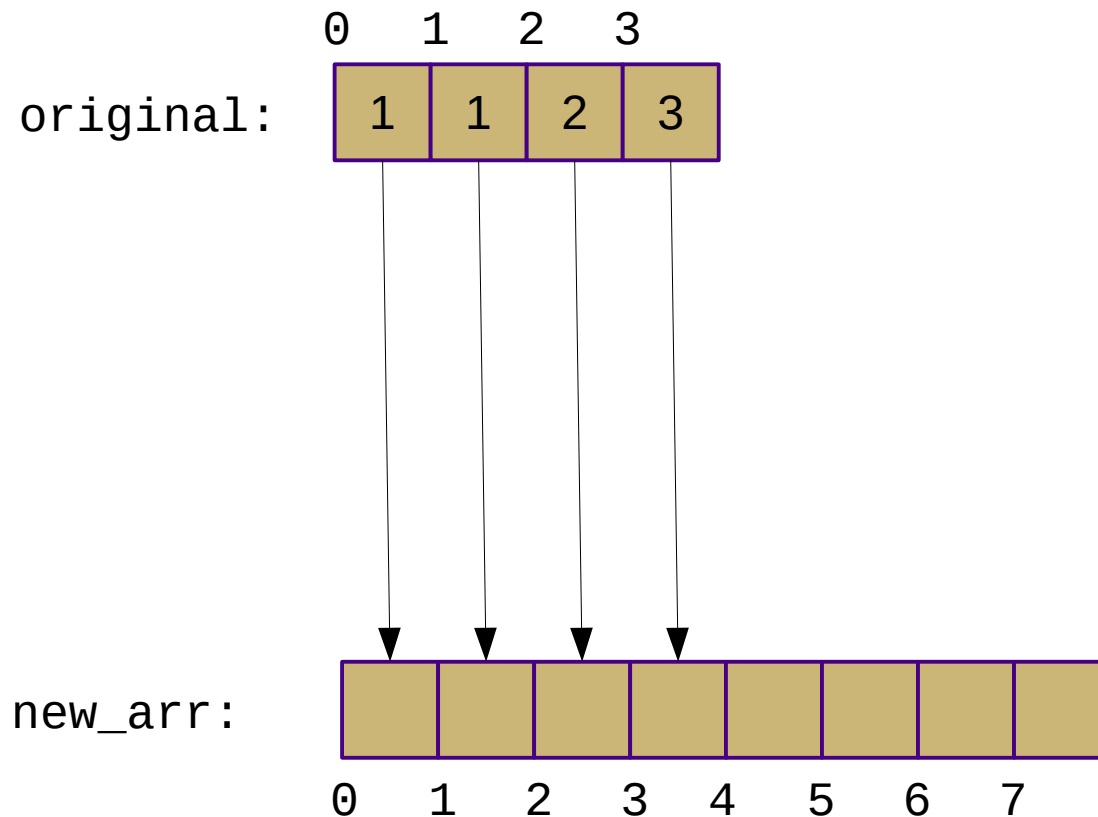
original:

0	1	2	3
1	1	2	3

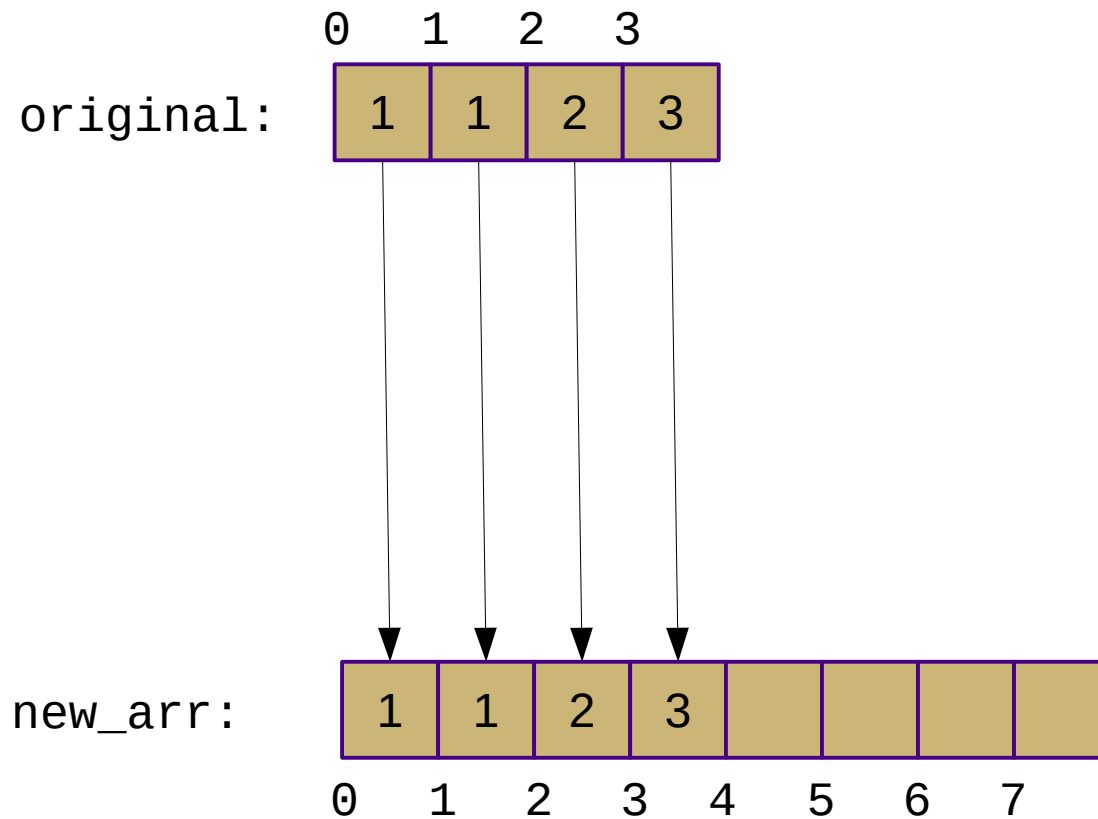
new_arr:

0	1	2	3	4	5	6	7

Dynamic Arrays



Dynamic Arrays



Dynamic Arrays

new_arr:

1	1	2	3				
0	1	2	3	4	5	6	7

Dynamic Arrays

new_arr:

1	1	2	3	5			
0	1	2	3	4	5	6	7

Dynamic Arrays

- State information:
 - **array**: array pointer
 - **capacity**: current maximum element count
 - **size**: current element count
- Invariant: **capacity** \geq **size**

Dynamic Arrays

- How big should we initialize new arrays?
 - For now let's make it big enough for a single element
- How much extra space should we allocate when we need to resize it?
 - For now, let's assume we double the size

Dynamic Arrays

```
typedef struct dynarray {
    int *array;
    size_t capacity;
    size_t size;
} dynarray_t;

void dynarray_append(dynarray_t *a, int value)
{
    if ((a->size + 1) > a->capacity) {
        // allocate new storage array
        int *new_array = (int*)malloc(sizeof(int) * (a->capacity*2));
        // TODO: check new_array for NULL

        // copy old elements over
        for (int i = 0; i < a->size; i++) {
            new_array[i] = a->array[i];
        }

        // deallocate old storage array
        free(a->array);

        // update state information
        a->array = new_array;
        a->capacity *= 2;
    }

    // add new element
    a->array[a->size++] = value;
}
```

Dynamic Arrays

- Big-O analysis
 - Create empty array:
 - Access element:
 - Modify element:
 - Get length:
 - Append element: ???

Dynamic Arrays

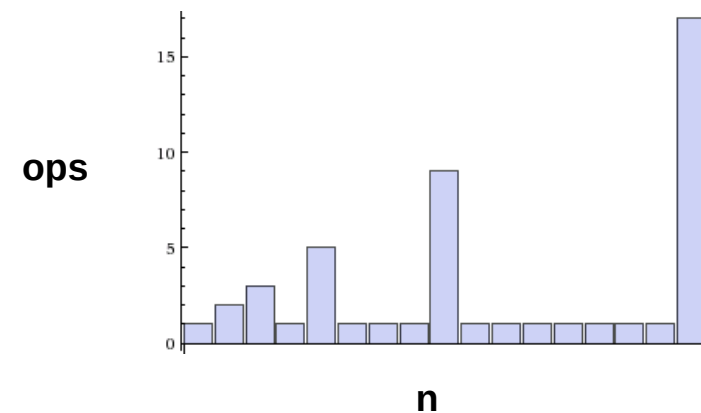
- Big-O analysis
 - Create empty array: $O(1)$
 - Access element: $O(1)$
 - Modify element: $O(1)$
 - Get length: $O(1)$
 - Append element: ???
 - Let's measure cost in "copy operations"

Dynamic Arrays

- Big-O analysis
 - Create empty array: $O(1)$
 - Access element: $O(1)$
 - Modify element: $O(1)$
 - Get length: $O(1)$
 - Append element:
 - If **capacity** > **size**: $O(1)$
 - If **capacity** == **size**: $O(n)$

Dynamic Arrays

- Big-O analysis
 - Create empty array: $O(1)$
 - Access element: $O(1)$
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 - Get length: $O(1)$
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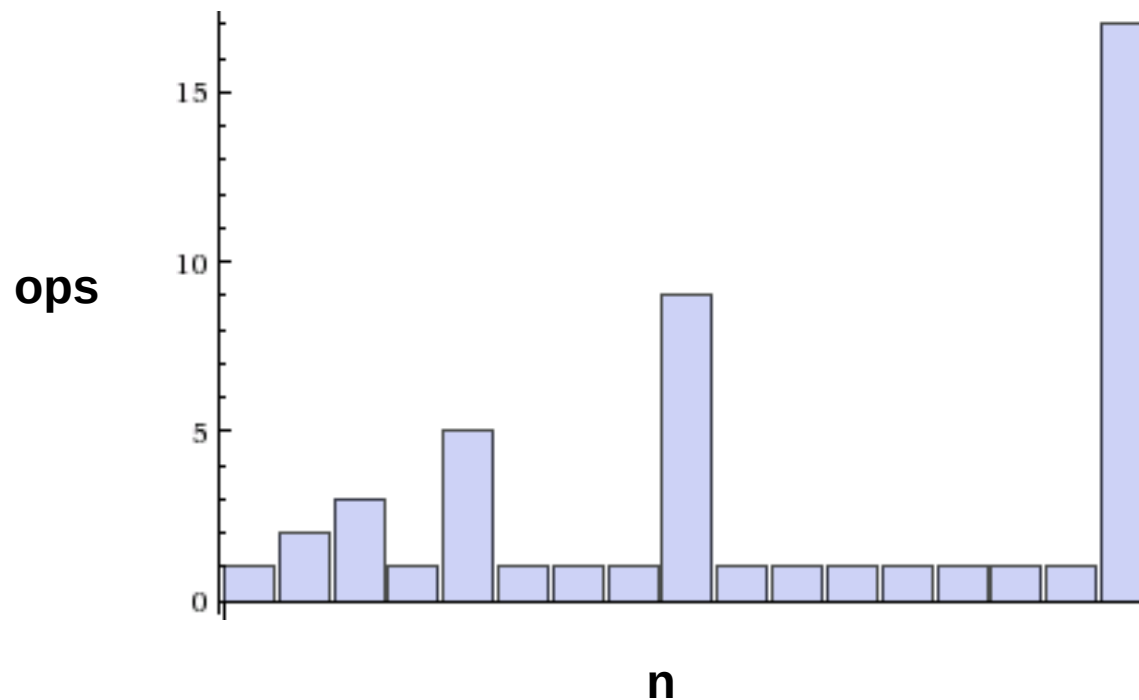
n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
cap	1	2	4	4	8	8	8	8	16	16	16	16	16	16	16	16	32
ops	1	2	3	1	5	1	1	1	9	1	1	1	1	1	1	1	17

Dynamic Arrays

- Can we argue that the *average* cost of the append operation is $O(1)$, despite its occasional $O(n)$ cost?
- Yes! Use *amortized* analysis
 - Sometimes called the "accounting method"
- Basic idea: charge algorithm \$\$ to perform operations
 - Overcharge for some (inexpensive) operations
 - Use saved \$\$ to pay for expensive operations
 - Show that the total \$\$ spent is $O(n)$ for n operations
 - Thus, each operation can be considered $O(1)$

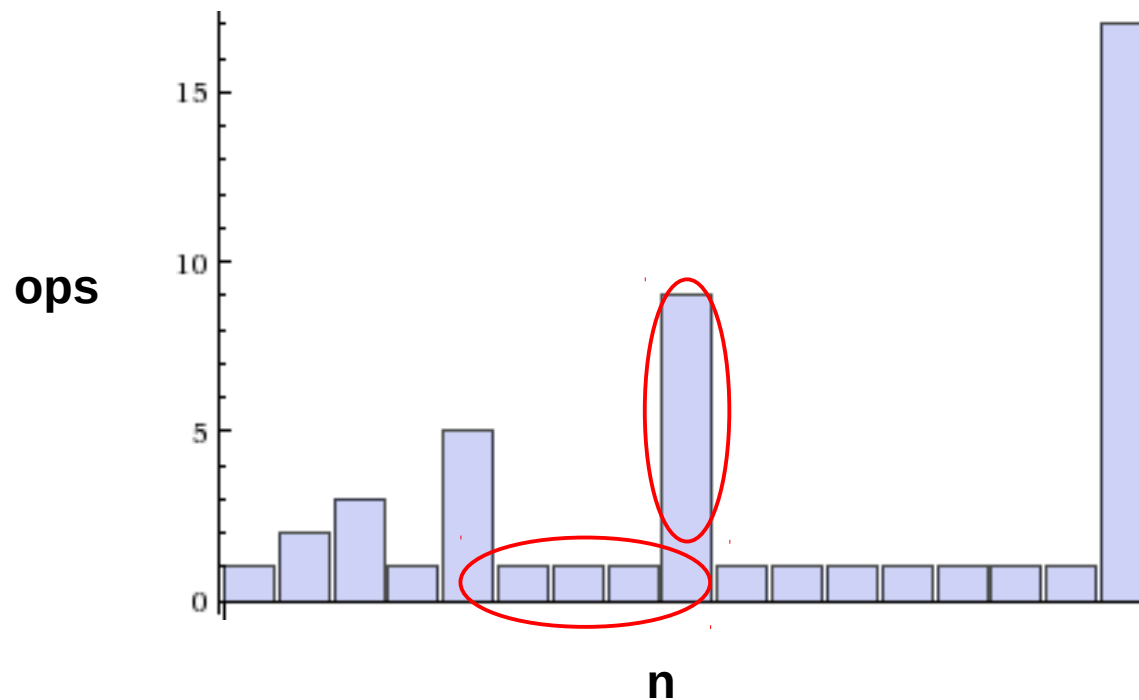
Amortized Analysis

- Intuition: Cost of rare expensive operations grows inversely proportionally to frequency



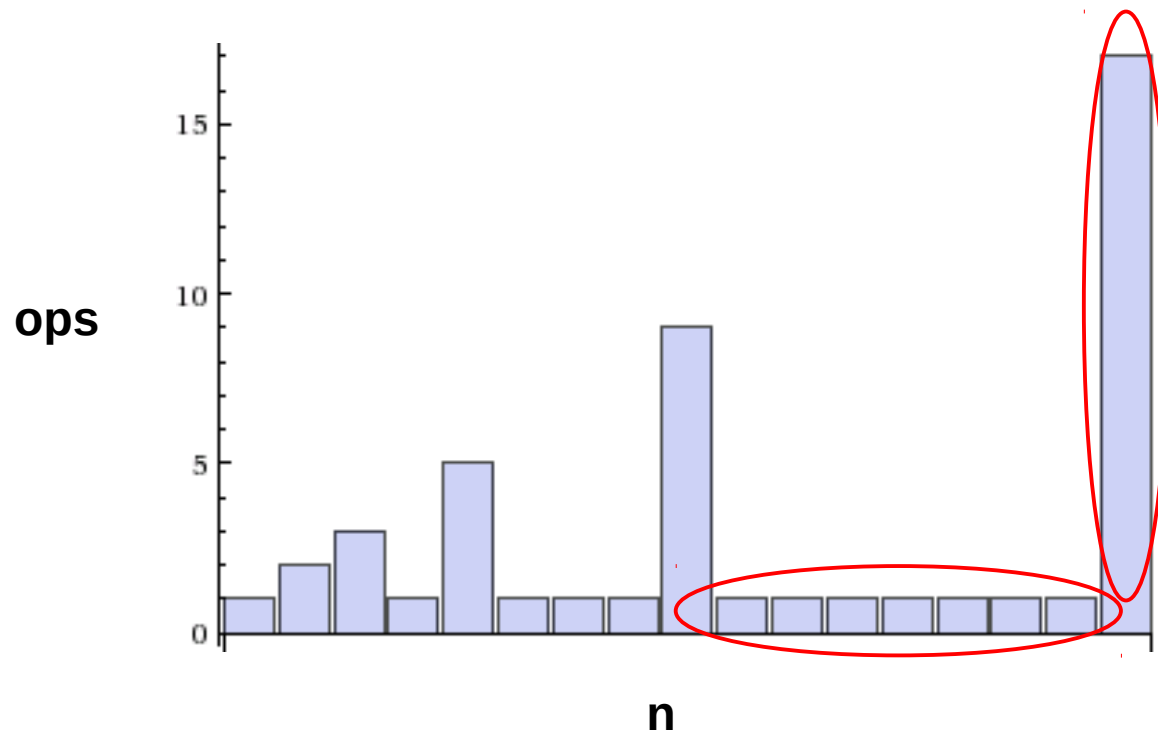
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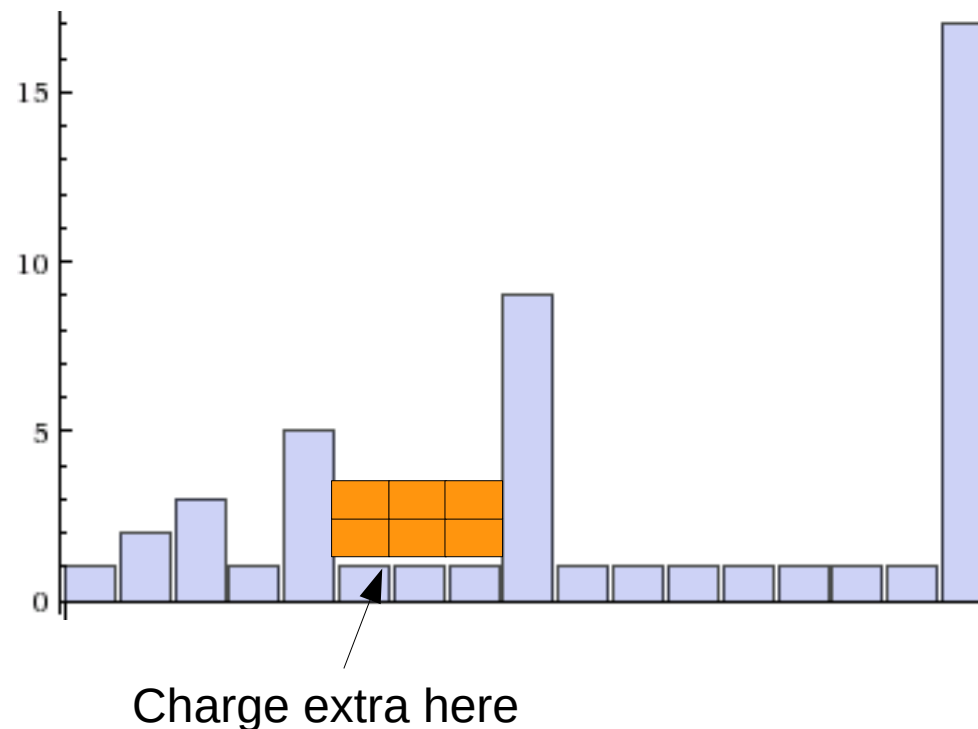
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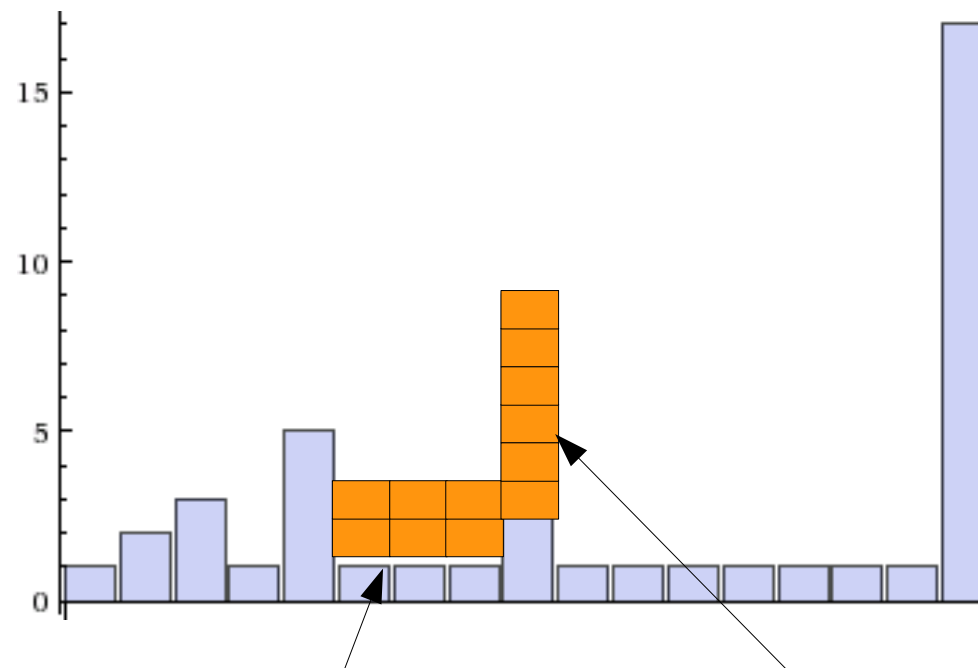
Amortized Analysis

- Idea: Charge extra for $O(1)$ insertions to “save up” and “pay for” the $O(n)$ insertions



Amortized Analysis

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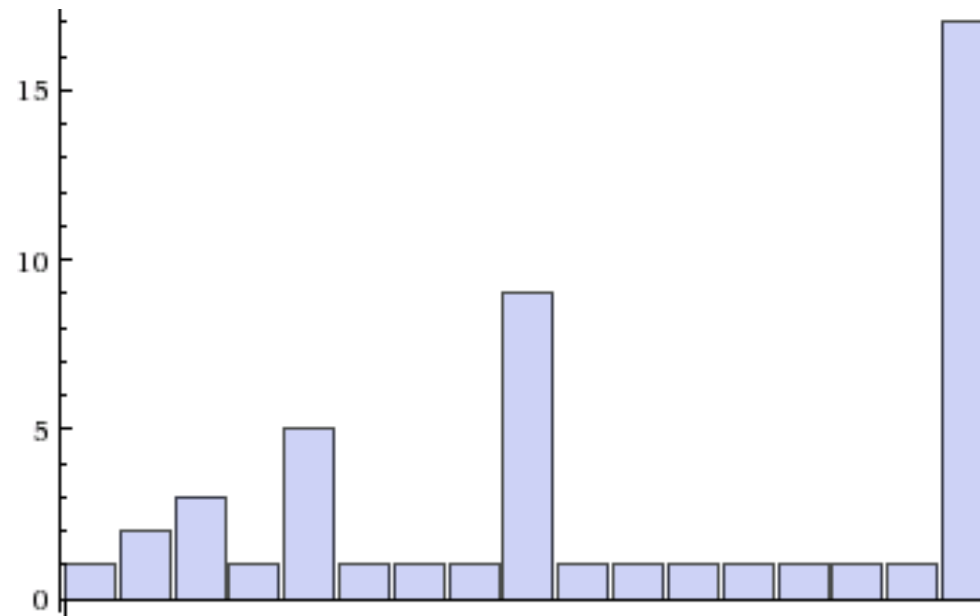
Charge extra here to pay for these

Amortized Analysis

- How much extra do we charge?
 - Let's try charging 1 extra operation
 - Total of 2 operations per append

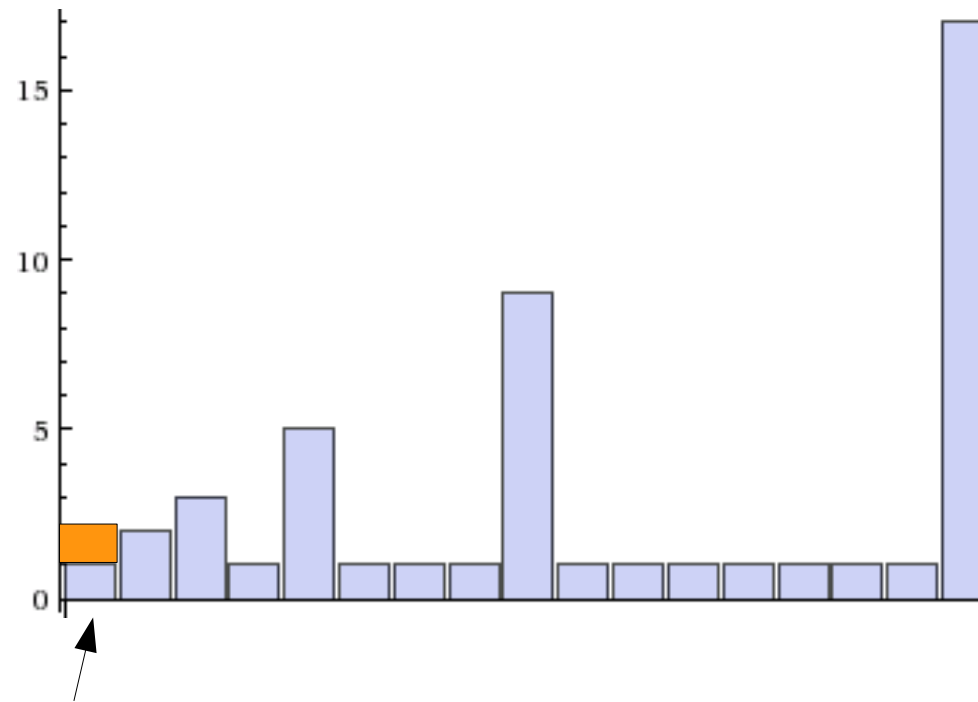
Amortized Analysis

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Amortized Analysis

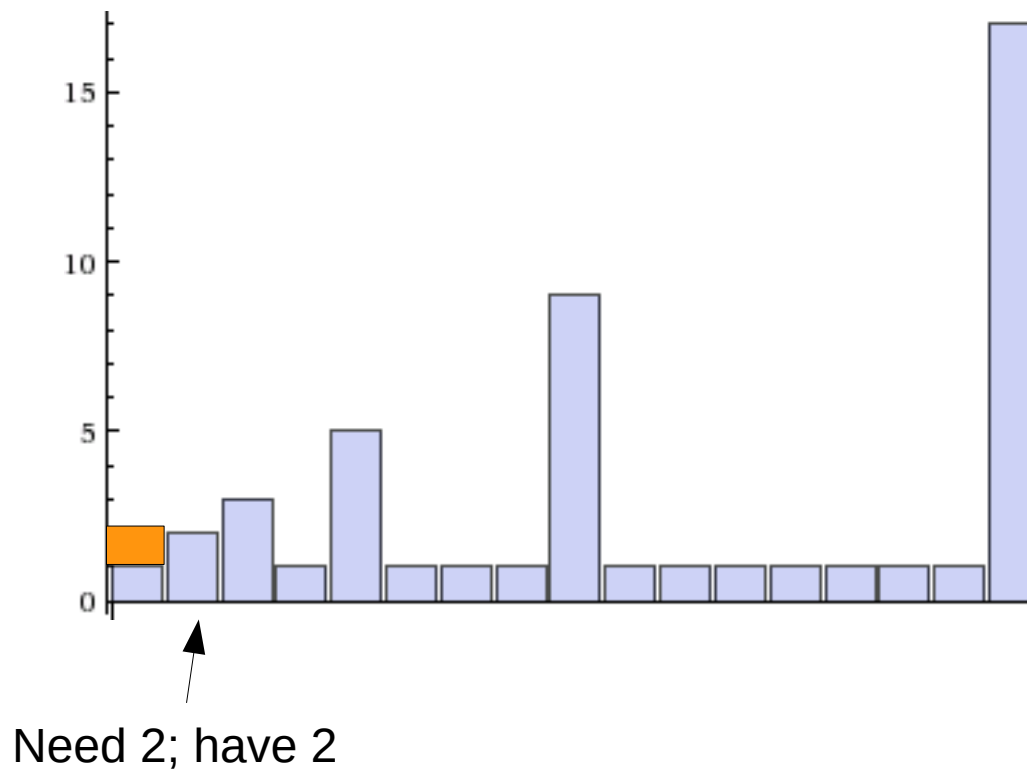
- How much extra do we charge?



Need 1; have 2; save one!

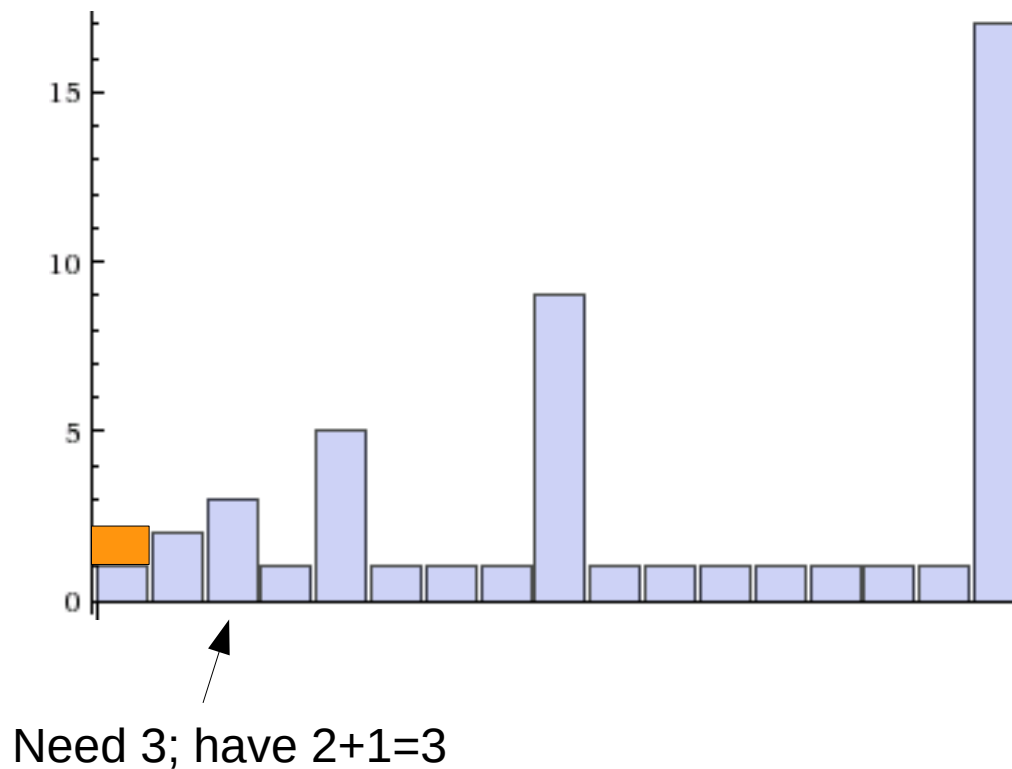
Amortized Analysis

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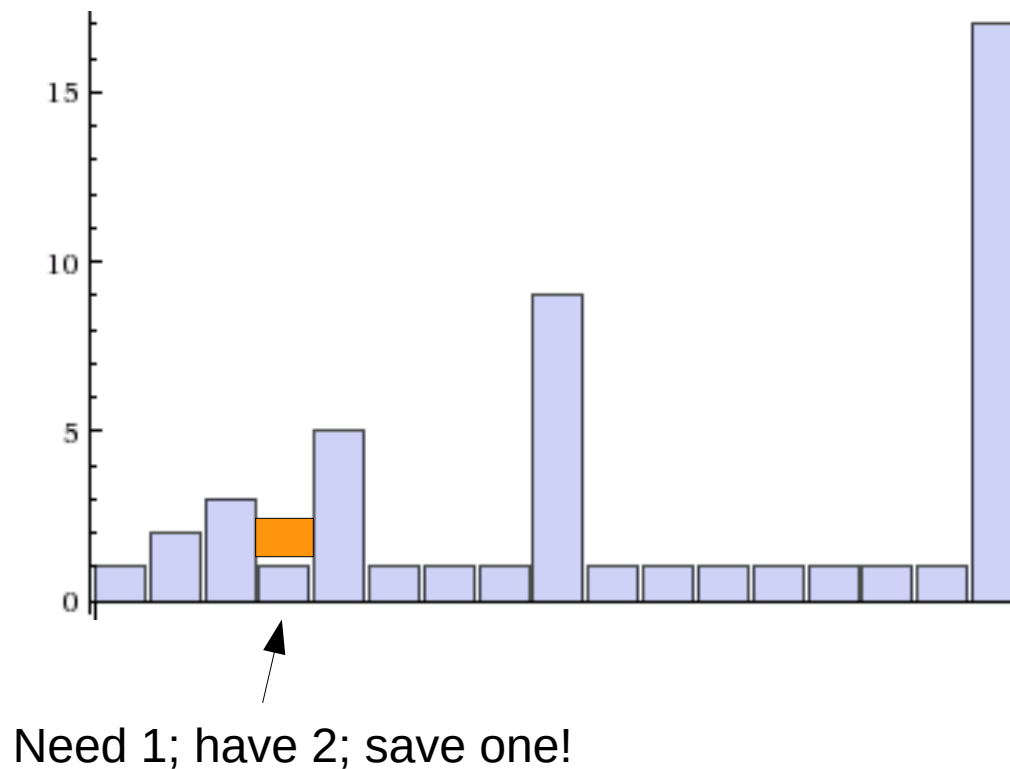
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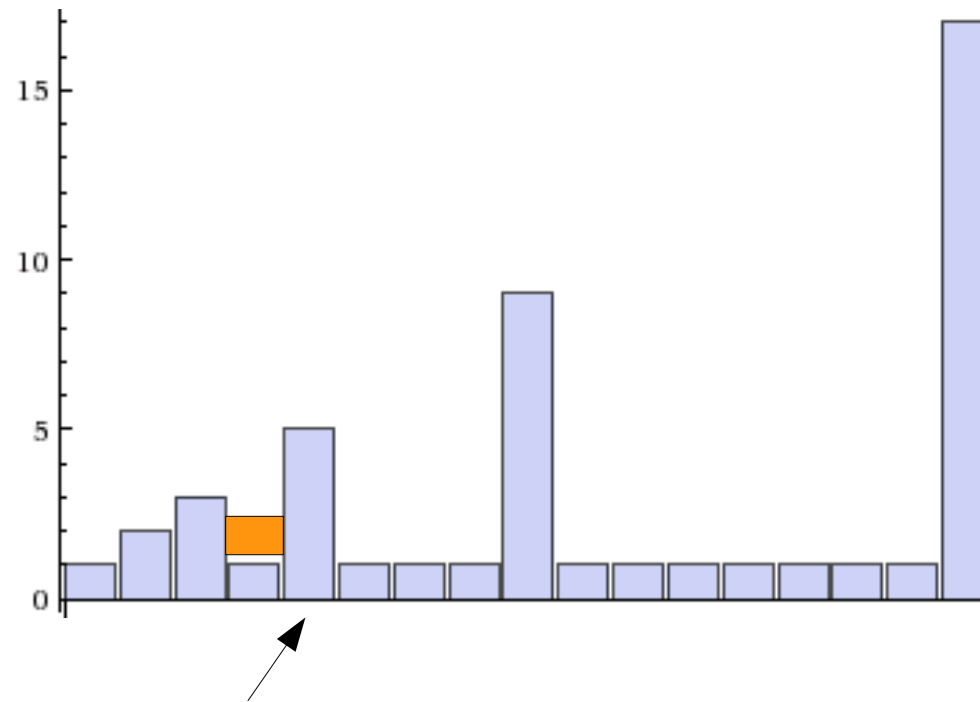
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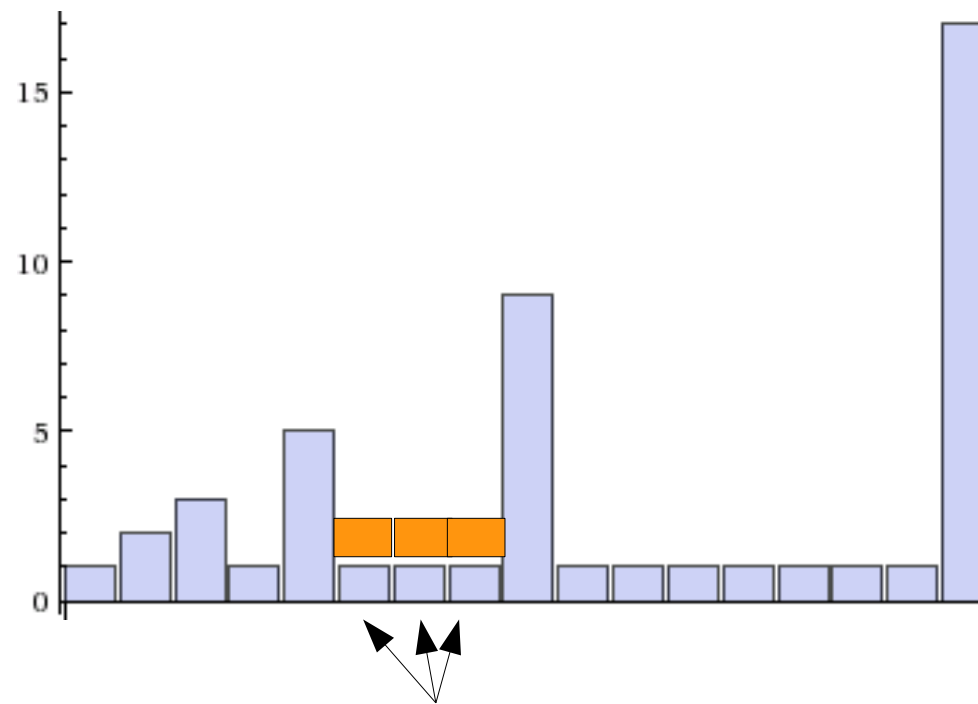
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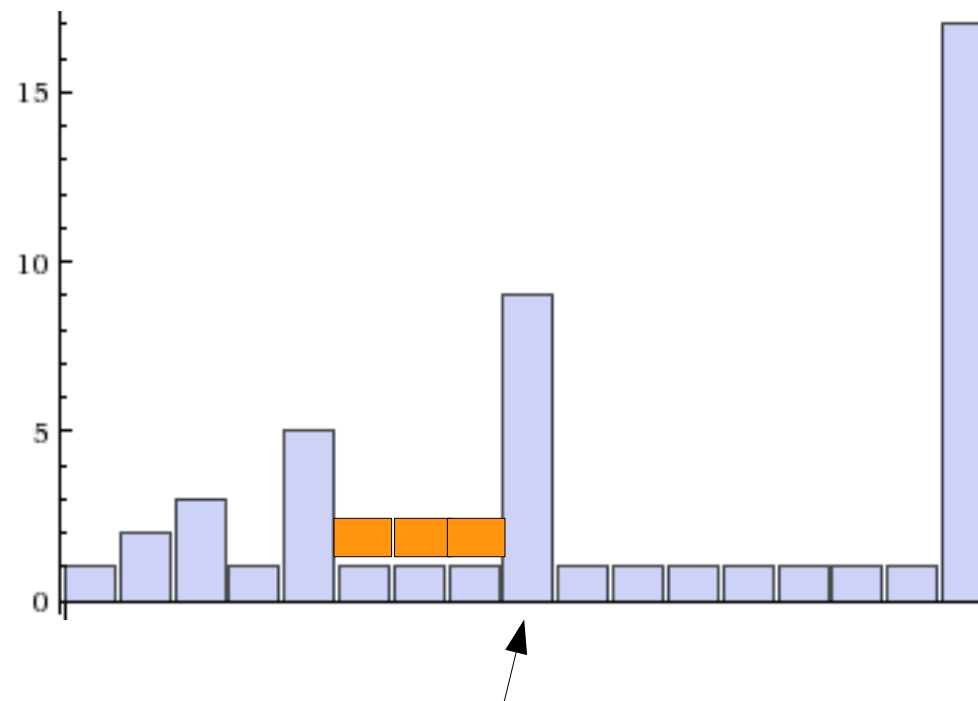
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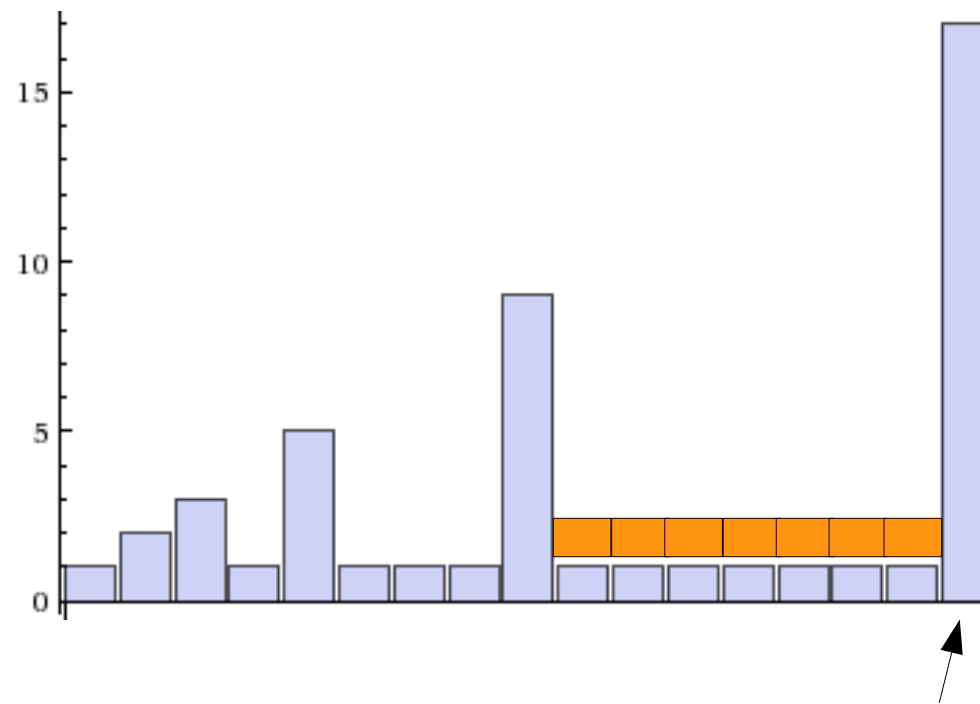
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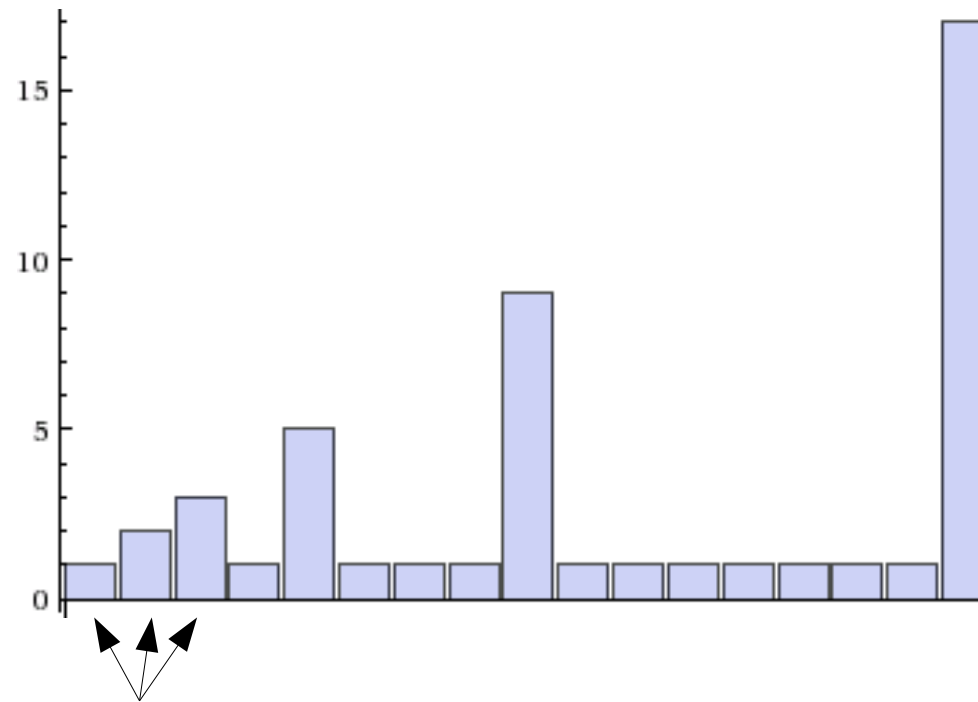
Need 17, have $2+7=9$!!

Amortized Analysis

- How much extra do we charge?
 - Let's try charging 2 extra operations
 - Total of 3 operations per append

Amortized Analysis

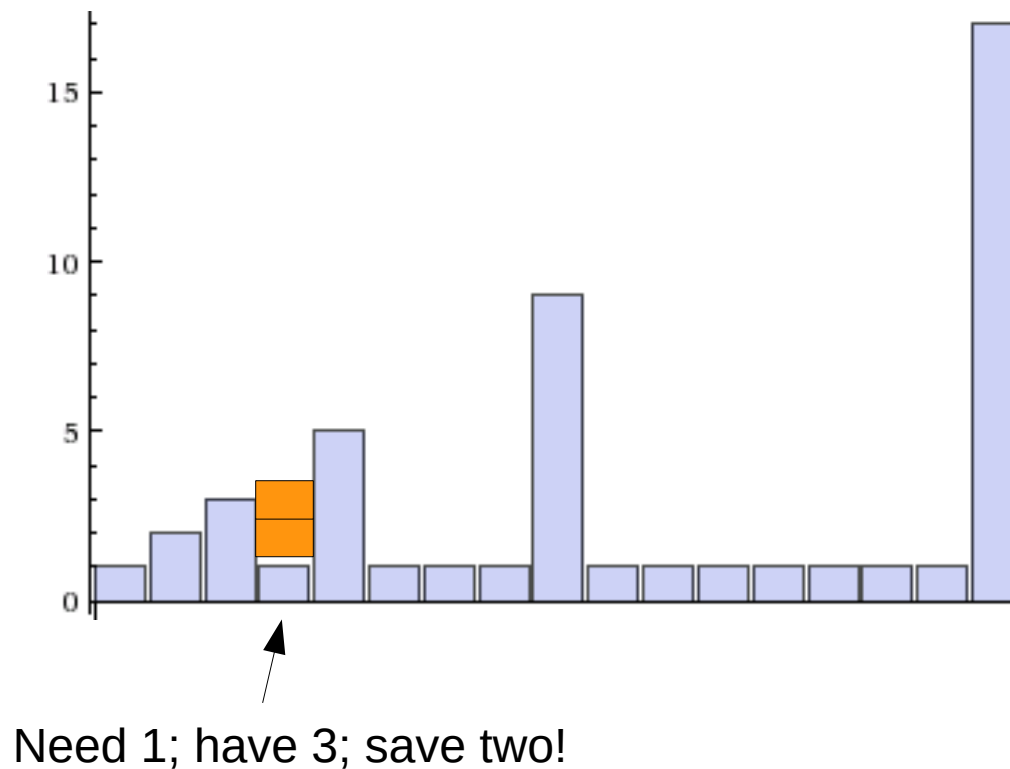
- How much extra do we charge?



Need ≤ 3 ; have 3

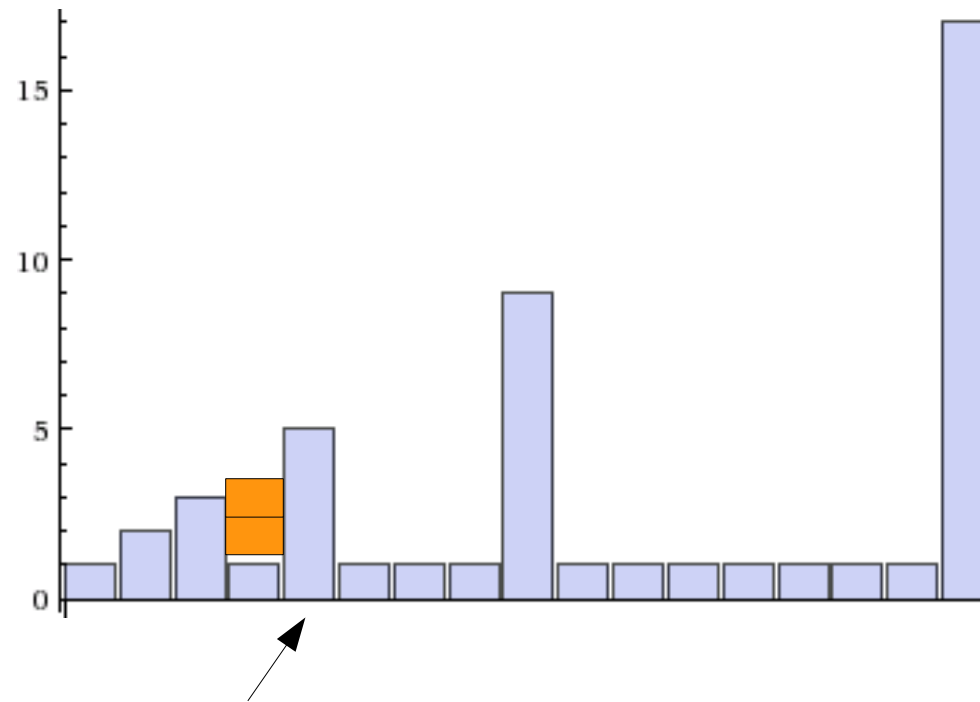
Amortized Analysis

- How much extra do we charge?



Amortized Analysis

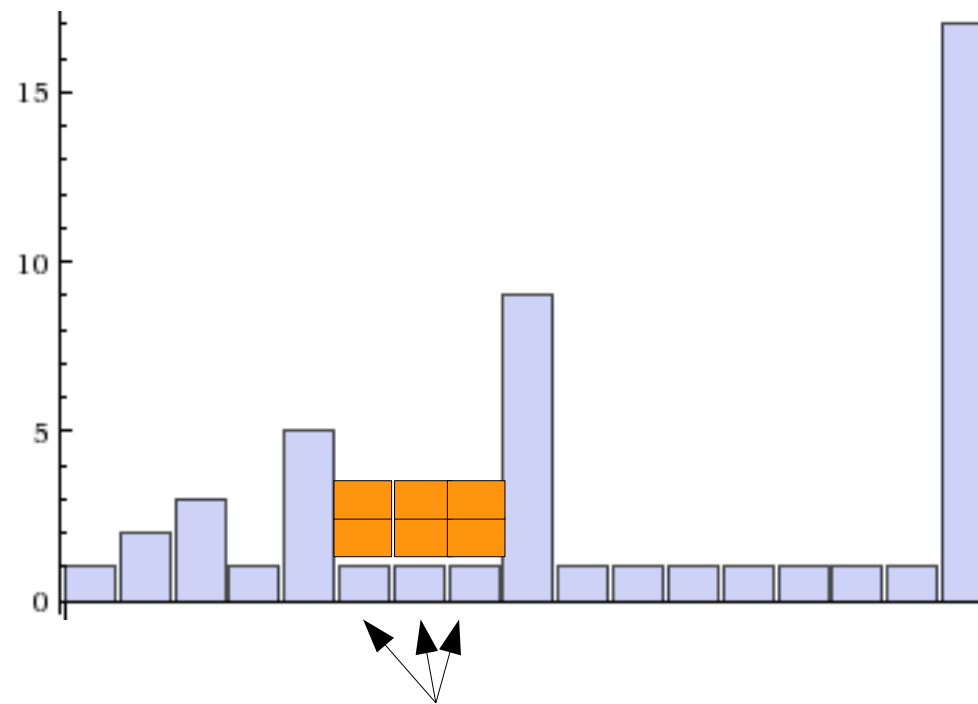
- How much extra do we charge?



Need 5; have $3+2=5$

Amortized Analysis

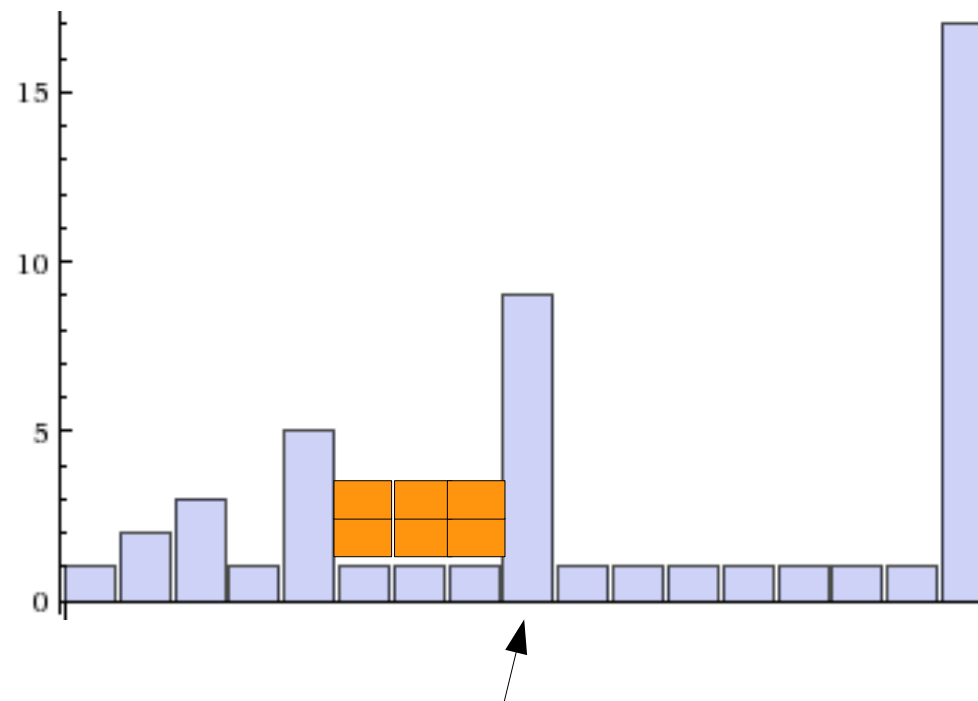
- How much extra do we charge?



Need 1, have 3; save two each!

Amortized Analysis

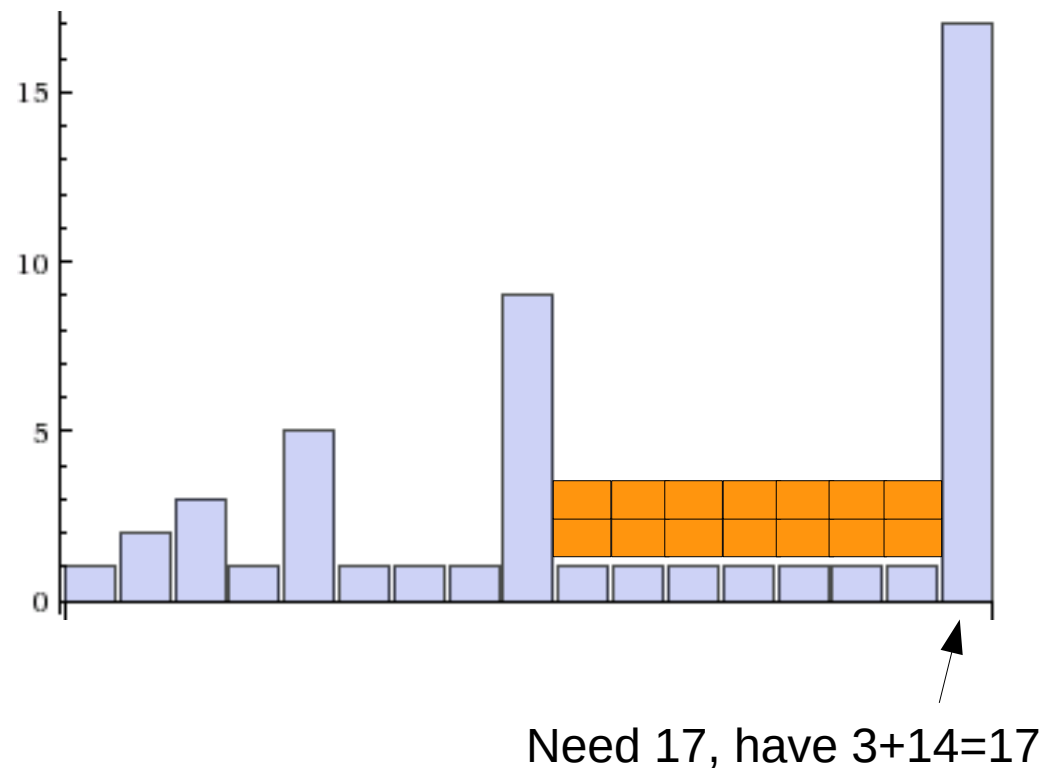
- How much extra do we charge?



Need 9, have $3+6=9$

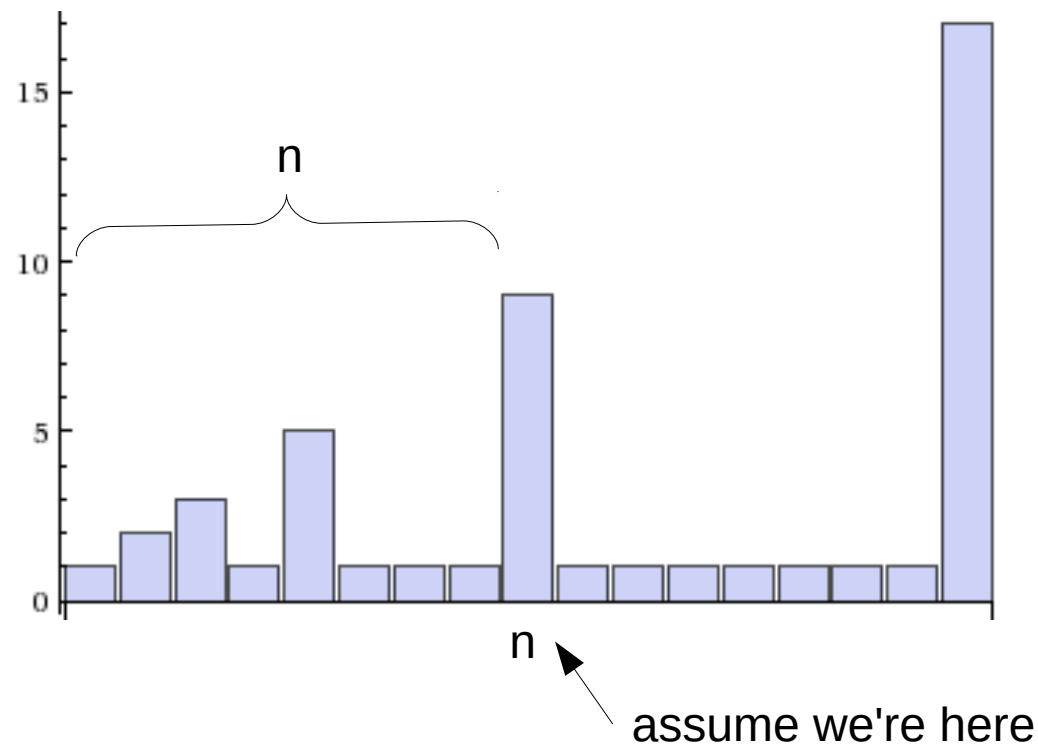
Amortized Analysis

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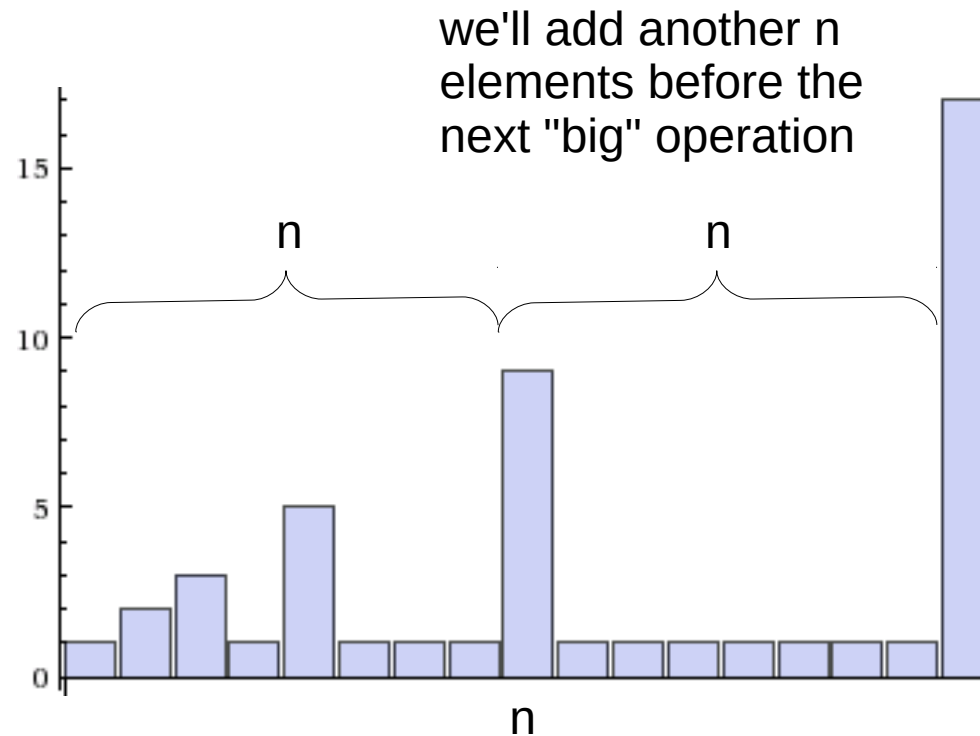
Amortized Analysis

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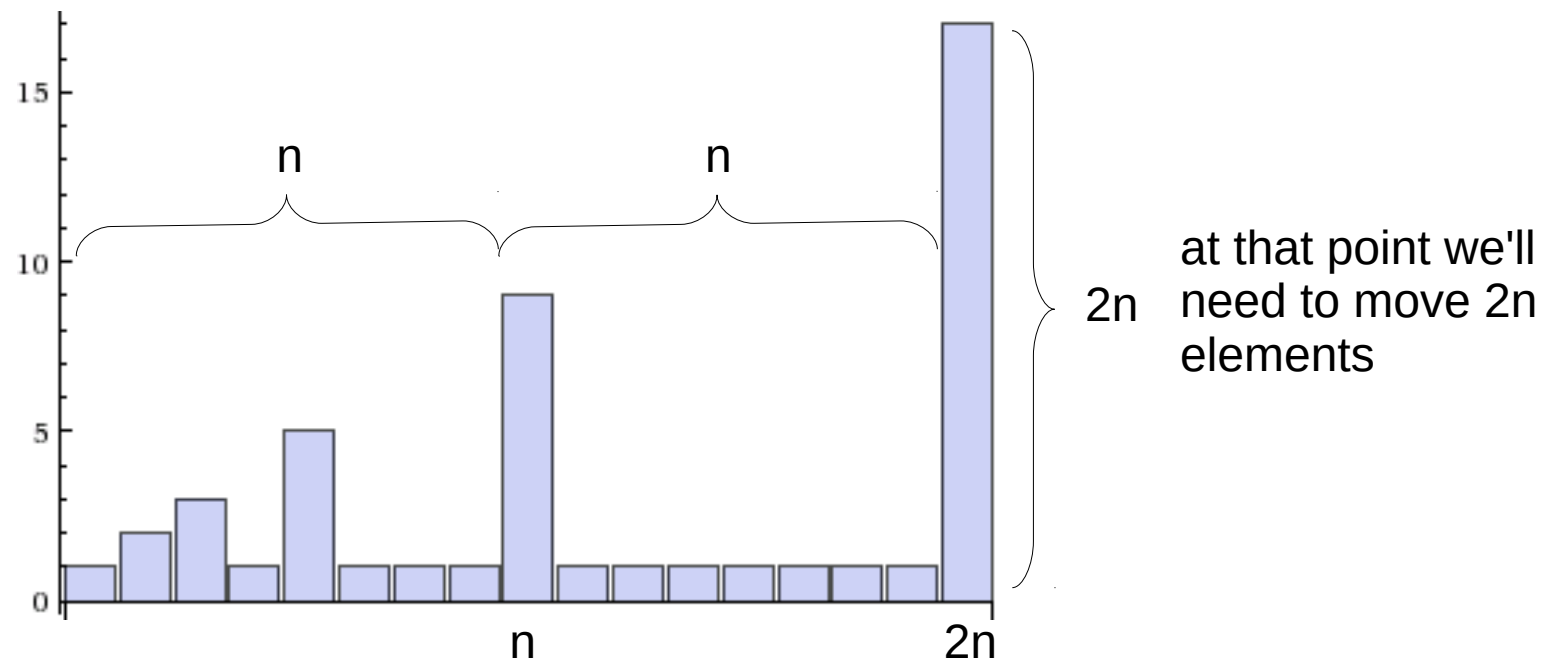
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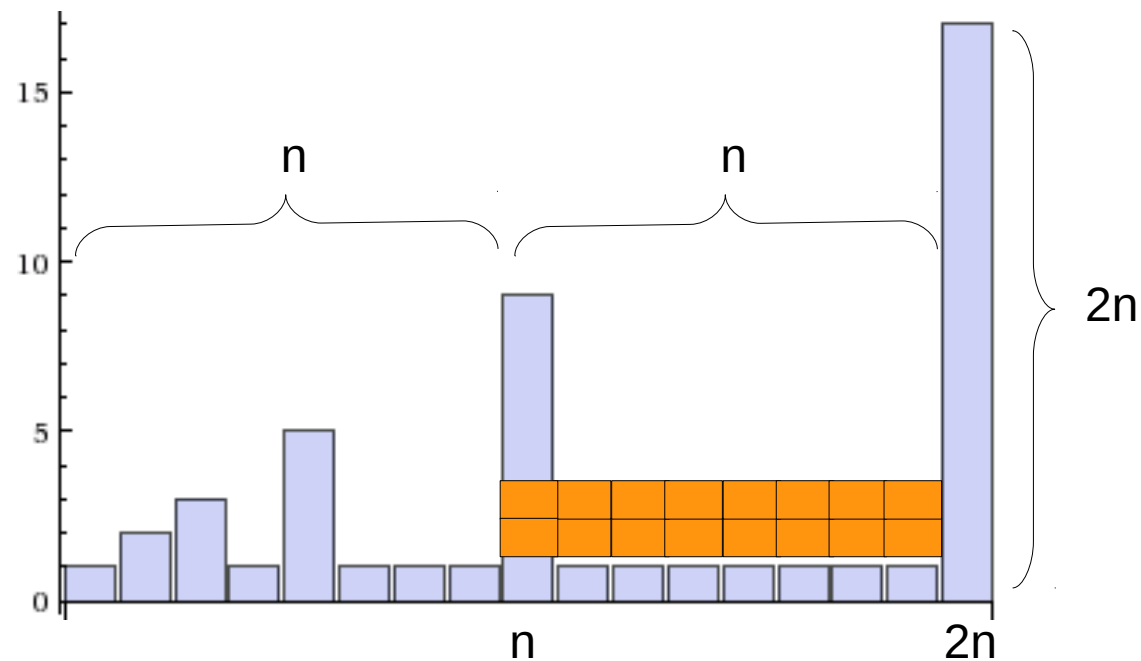
Amortized Analysis

- How much extra do we charge?



Amortized Analysis

- How much extra do we charge?



so we should charge 2 extra for
each of the n elements in between

Amortized Analysis

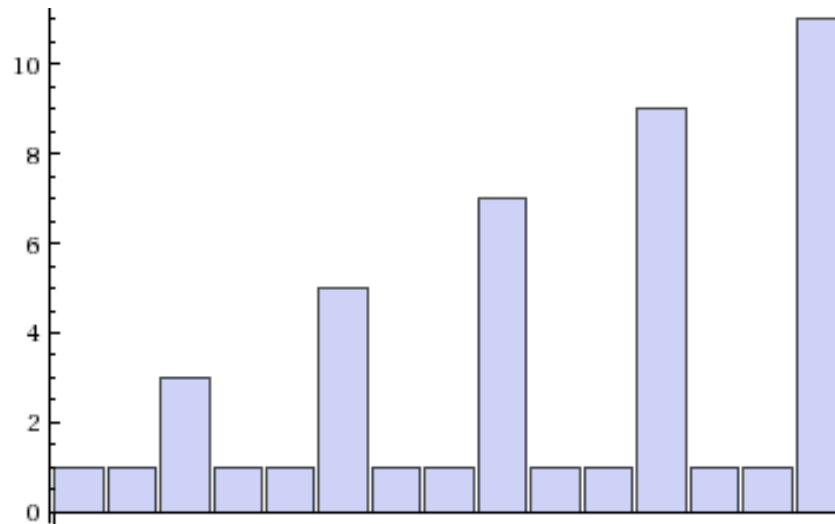
- How much extra do we charge?
 - If we're doubling the size each time...
 - We will need to make $2n$ copies at the next increase
 - We will have n new appends during that period
 - So we need to “save up” two extra operations per cheap append to pay for the expensive appends
 - Charge 3 total operations for each append

Amortized Analysis

- Total # of operations to add n items: $3n$
 - Which is $O(n)$
- Average operations per append = $3n/n = 3$
- More generally: the total # of operations is $O(n)$, so the amortized cost per append is $O(1)$

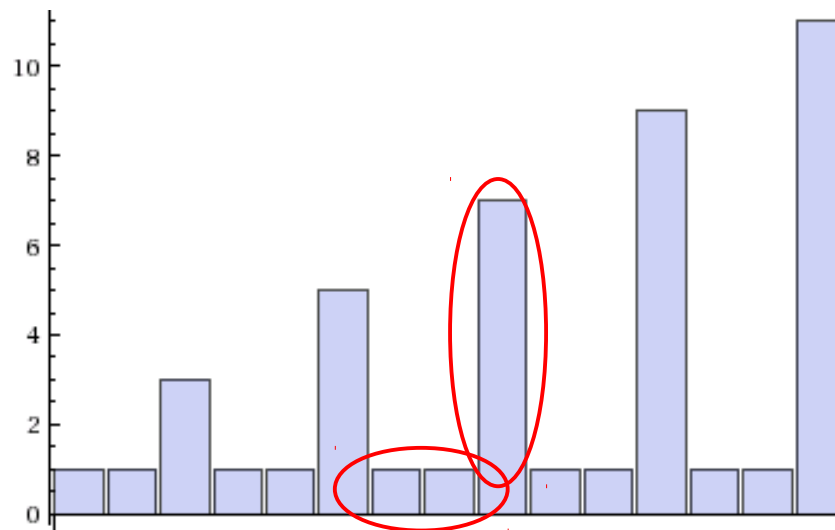
Amortized Analysis

- Does the same argument apply to a constant increase when the capacity is reached?



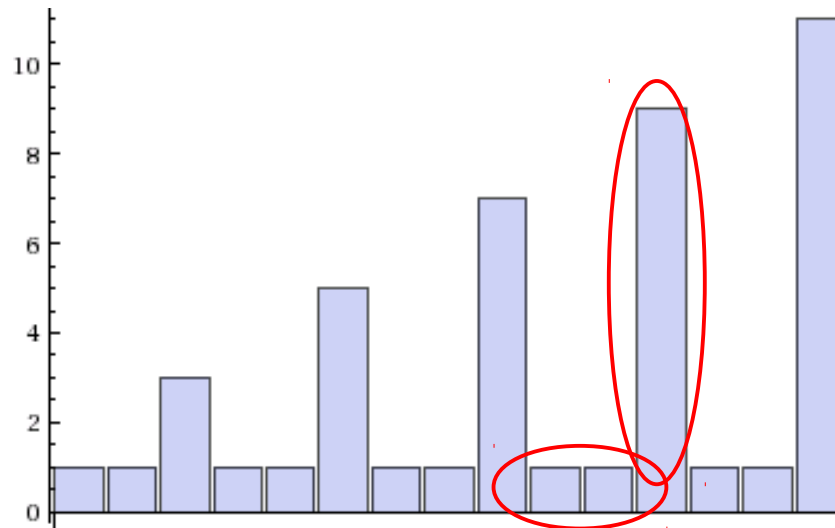
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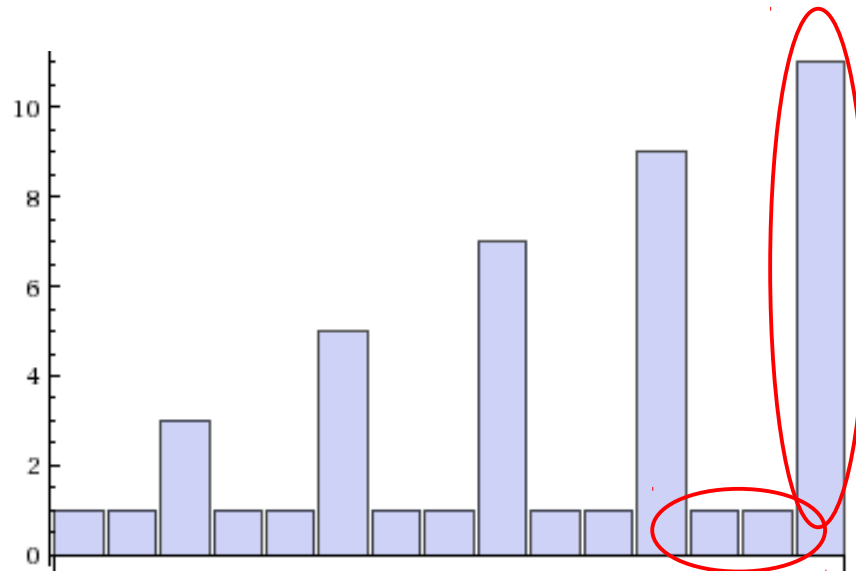
Amortized Analysis

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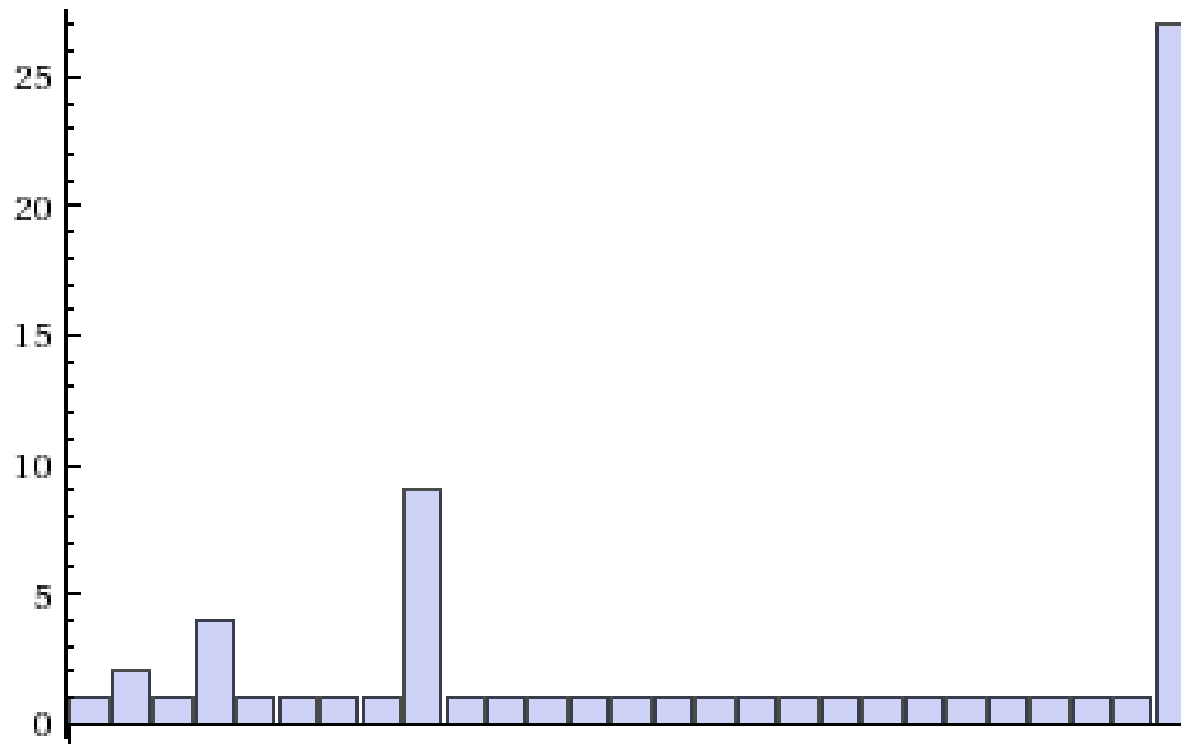


Amortized Analysis

- Does the same argument apply to a constant increase when the capacity is reached?
 - No! The amount of operations “saved” is always constant between increases, but the amount of work done by the capacity increases grows linearly with the size of the array.
 - This actually leads to $\Omega(n^2)$ total operations for n appends, instead of $O(n)$ total operations

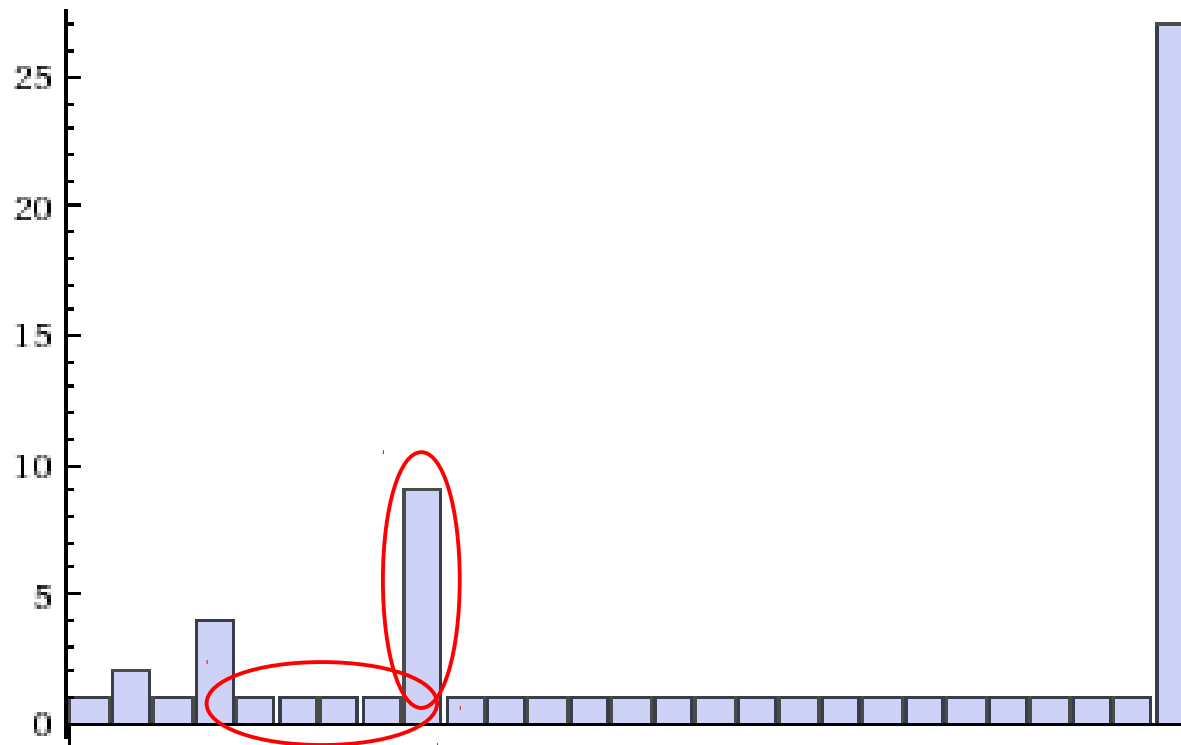
Amortized Analysis

- Does the same argument apply to a tripling increase when the capacity is reached?



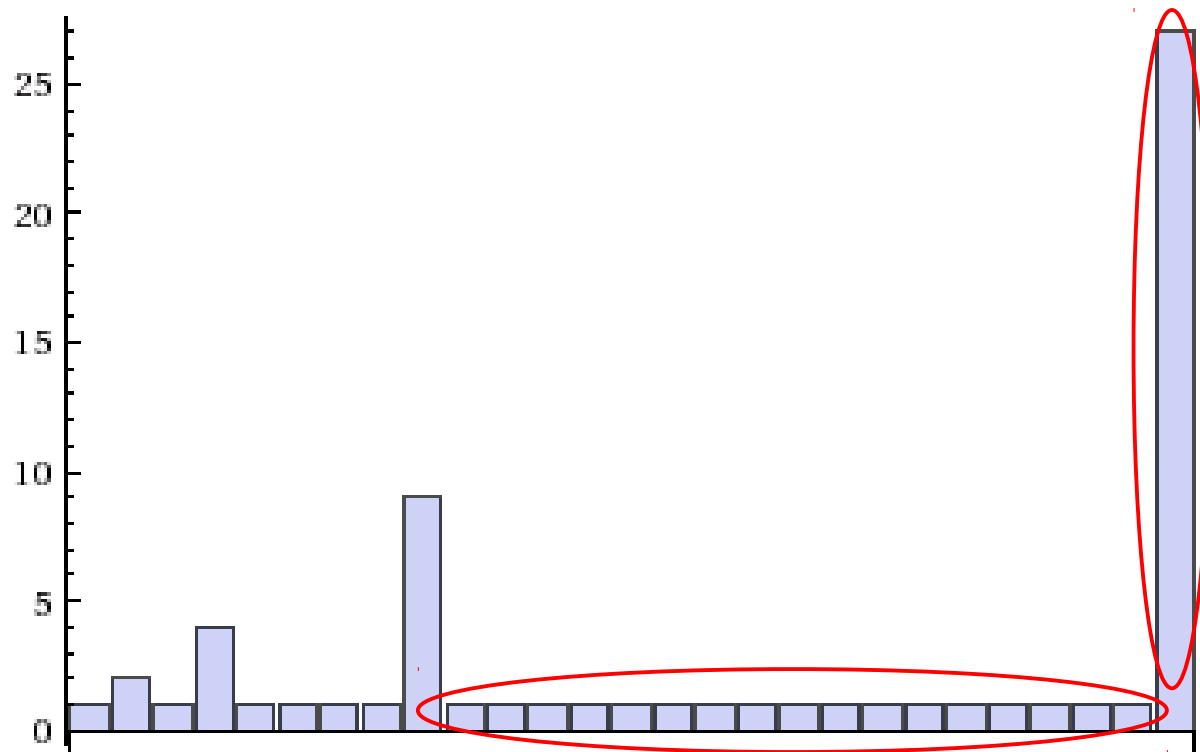
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Amortized Analysis

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Amortized Analysis

- Does the same argument apply to a tripling increase when the capacity is reached?
 - Yes! Charge three extra operations instead of two, and then we will have saved roughly $3n$ operations before the next capacity increase.
 - Total operations for n appends: $4n \in O(n)$
 - The amortized cost for each append is still $O(1)$
 - In fact, the argument works for **any** geometric progression

Amortized Analysis

- Fundamental idea: Overcharge for cheap operations to “save up” credit for expensive operations
 - If the total cost for n operations can be shown to be $O(n)$, then the average cost for each individual operation is $O(1)$
- For PA2, you will use a dynamic array to implement the Set ADT