# CS240 Fall 2014

Mike Lam, Professor



# Quick Sort

## Merge Sort

- Merge sort
  - Sort sublists (divide & conquer)
  - Merge sorted sublists (combine)
- All the "hard work" is done after recursing
- Hard to do "in-place"
  - The sublists need to be interleaved during merging
  - Doing this cleanly requires O(n) extra space at minimum
- We'd like an O(n log n) algorithm that works "in-place"
  - No extra space required

# **Quick Sort**

- Quick sort
  - Choose a pivot value
  - Partition into sublists (divide)
  - Sort sublists (conquer)
  - Merge sorted sublists (combine)
- All the "hard work" is done before recursing
  - O(n) at each level
- Some work (combining) is done after recursing
  - Still *O*(*n*) at each level
  - This is actually unnecessary in the in-place version

## Partitioning

- Choose a *pivot* value
  - Easy choices: first, middle, or last
  - More complicated: random, median of three
- Split list into two or three sublists
  - 1) Less than and 2) Equal or Greater than
  - 1) Less than, 2) Equal to, and 3) Greater than
  - This operation can be done in-place or with auxiliary lists
- Often implemented in a separate function
  - Like the merge() operation in merge sort

### **Quick Sort Implementation**

```
def quick_sort(items):
    n = len(items)
```

```
# base case: 0 or 1 items (already sorted)
if n < 2:
    return
                                                     # conquer (recurse)
# choose pivot
                                                     quick_sort(less)
pivot = items[-1] # use last item
                                                     quick_sort(greater)
# divide (a.k.a. partition)
                                                     # combine
less = []; equal = []; greater = []
                                                     i = 0
for elem in items:
                                                     for elem in less:
    if elem < pivot:</pre>
                                                         items[i] = elem
        less.append(elem)
                                                         i += 1
    elif elem > pivot:
                                                     for elem in equal:
        greater.append(elem)
                                                         items[i] = elem
    else:
                                                         i += 1
        equal.append(elem)
                                                     for elem in greater:
                                                         items[i] = elem
                                                         i += 1
```

## **Quick Sort Implementation**

- Good: Relatively simple and easy to understand
- Bad: Uses lots of extra lists (similar to merge sort)
- Alternative: "in-place" implementation
  - Instead of building new lists during partitioning, use swapping to re-arrange sublists in the original list
  - This can be a little difficult to get exactly right
  - It's worth practicing

### **In-place Quick Sort**

- quick\_sort(items, first=0, last=len(items)-1):
  - pivot = choose\_pivot()
  - pivot\_index = partition(items, pivot)
  - quick\_sort(items, first, pivot\_index-1)
  - quick\_sort(items, pivot\_index+1, last)

#### **In-place Quick Sort**

```
def guick_sort_inplace(items):
    """ Sort the provided Python list using in-place quick sort."""
    quick sort inplace helper(items, 0, len(items)-1)
def guick sort inplace helper(items, first, last):
    """ Recursive helper for in-place guick sort."""
    # base case: 0 or 1 items (already sorted)
    if first >= last:
        return
    # choose pivot
    pivot = items[last]
                          # use last item
    # divide (a.k.a. partition)
   left = first
    right = last - 1 # ignore pivot for now
    while left <= right:</pre>
        # scan for values that are in the wrong partition
        # and swap them
        while left <= right and items[left] < pivot:</pre>
            left += 1
        while left <= right and pivot < items[right]:</pre>
            right -= 1
        if left <= right:</pre>
            tmp = items[left]
            items[left] = items[right]
            items[right] = tmp
            left += 1
            right -= 1
    # swap pivot with the leftmost item in the second sublist
    tmp = items[left]
    items[left] = items[last]
    items[last] = tmp
    # conquer (recurse)
    quick_sort_inplace_helper(items, first, left-1)
    quick_sort_inplace_helper(items, left+1, last)
```

## **Quick Sort Analysis**

- *O*(*n*) time per level
- How many levels?

(this is the key difference between analysis of merge sort and analysis of quick sort)



# **Quick Sort Analysis**

- O(n) time per level
- How many levels?
  - Best case:  $\sim log_2 n$ 
    - Input size is halved each time
    - Overall: O(n log n)
  - Worst case:  $\sim n$ 
    - Input size decreases by *O*(1) each time
    - Overall: *O*(*n*<sup>2</sup>)
  - Average/expected: ~  $log_{4/3} n$ 
    - Equally likely to choose "good" or "bad" pivot
    - Asymptotically same as best case
    - Overall: O(n log n)



### **Pivots**

- Choice of pivot is important!
  - Determines the size of the two sublists
    - And therefore (indirectly) the recursion depth
  - Optimal: median of all values in the list
    - Sublists will be of equal length
    - Guarantees *O*(*n log n*) sort (just like merge sort)
    - Chicken-and-egg problem: calculating the median requires the list to be sorted!

### **Pivots**

- Choice of pivot is important!
  - Non-optimal: deterministic selection
    - Choose first item, middle item, or last item
    - Picking the last item simplifies some implementations
    - Picking the middle item works well for nearly-sorted lists
    - All three have pathological cases that are  $O(n^2)$ 
      - Picking the first or last is particularly problematic because the pathological case is a sorted list!
  - Better options: random or median-of-three
    - Randomized guarantees *O*(*n log n*) with high probability
    - Median-of-three is cheaper to compute and is similar in practice

## Stability

- Quick sort is not stable
  - Partition re-orders items within sublists
- Stable variant requires O(n) extra space
  - This erases the largest advantage of quick sort over merge sort

## Conclusions

- Quick sort is often the fastest comparative sort in practice
  - Expected O(n log n) running time in most cases
  - Requires no extra space
    - Except for *log n* stack frames for recursion
  - Watch out for pathological cases!
  - Many common tweaks to improve quick sort
    - Median-of-three pivot selection
    - Switch to a different sort for pathological cases
    - Switch to a different sort when *n* is small